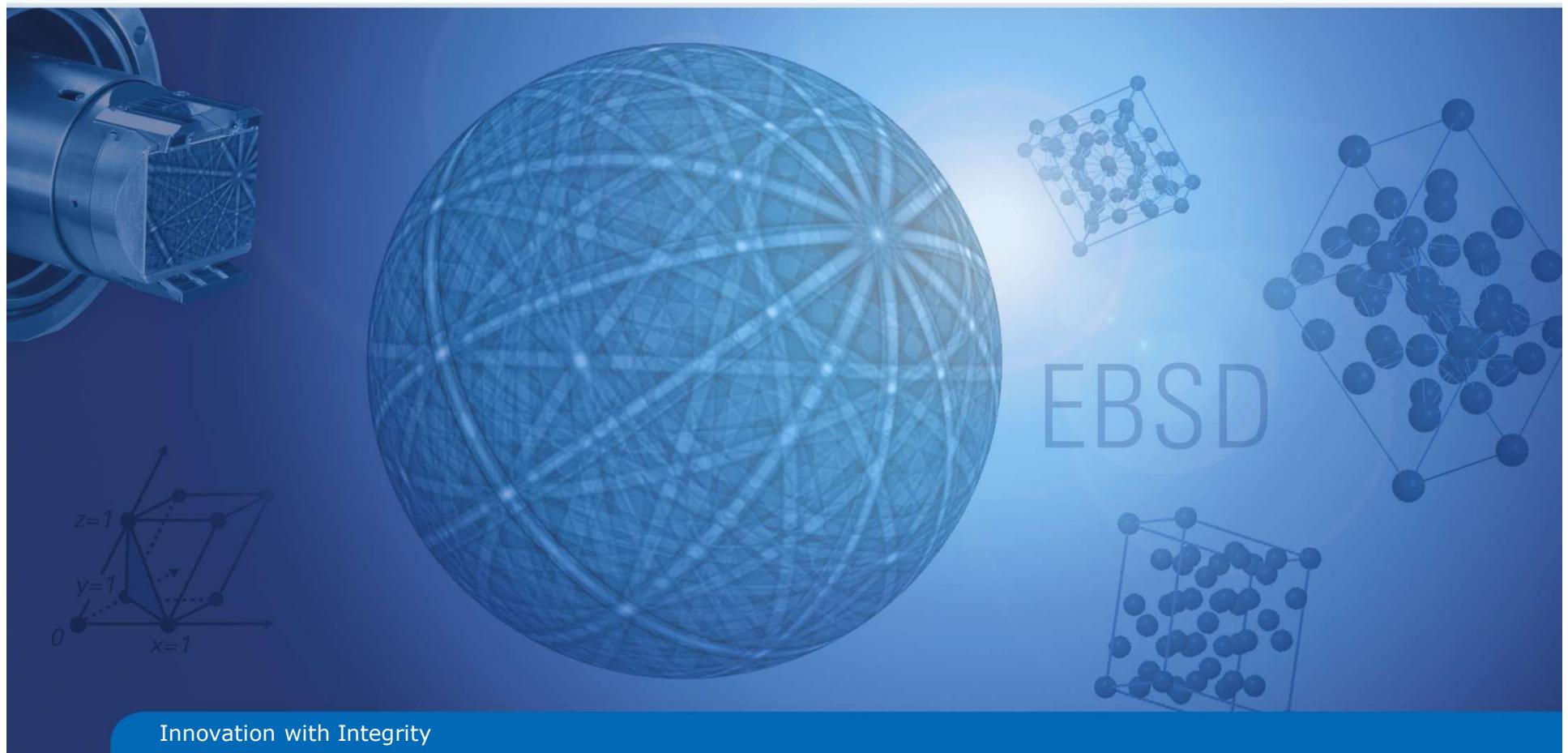


# Advanced Material Characterization by Combined 3D EBSD/EDS Measurements and Post Processing with ESPRIT QUBE



Free online webinar – December 7<sup>th</sup> 2017  
Bruker Nano Analytics, Germany



Innovation with Integrity

# Materials investigations by 3D EBSD

## - Applications of the analysis software QUBE -



Max-Planck-Institut  
für Eisenforschung GmbH

*S. Zaefferer, P. Konijnenberg*

*D. An, G. Nayyeri, A. Khorashadizadeh*



- Introduction: why 3D materials investigations and how to do 3D EBSD?
- Determination of geometrically necessary dislocation densities (GNDs) from 3D orientation fields
- 3D EBSD and modelling of recrystallization
- Grain boundary character and properties
- A new feature in QUBE: non-ridgid slice alignment
- Possibilities & Limitations

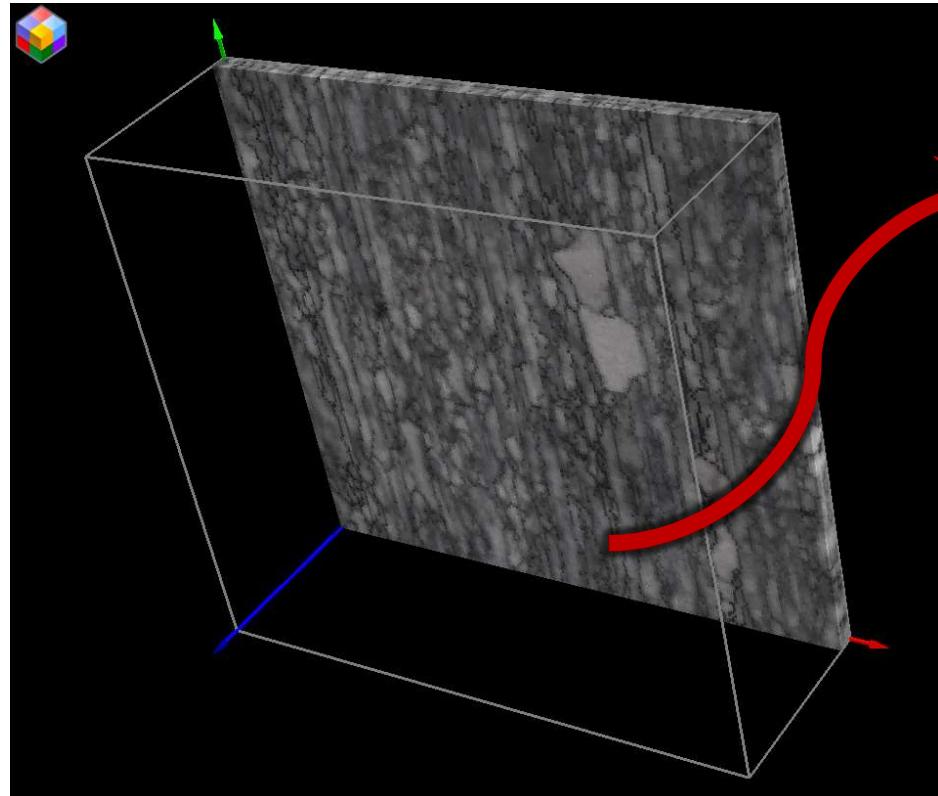




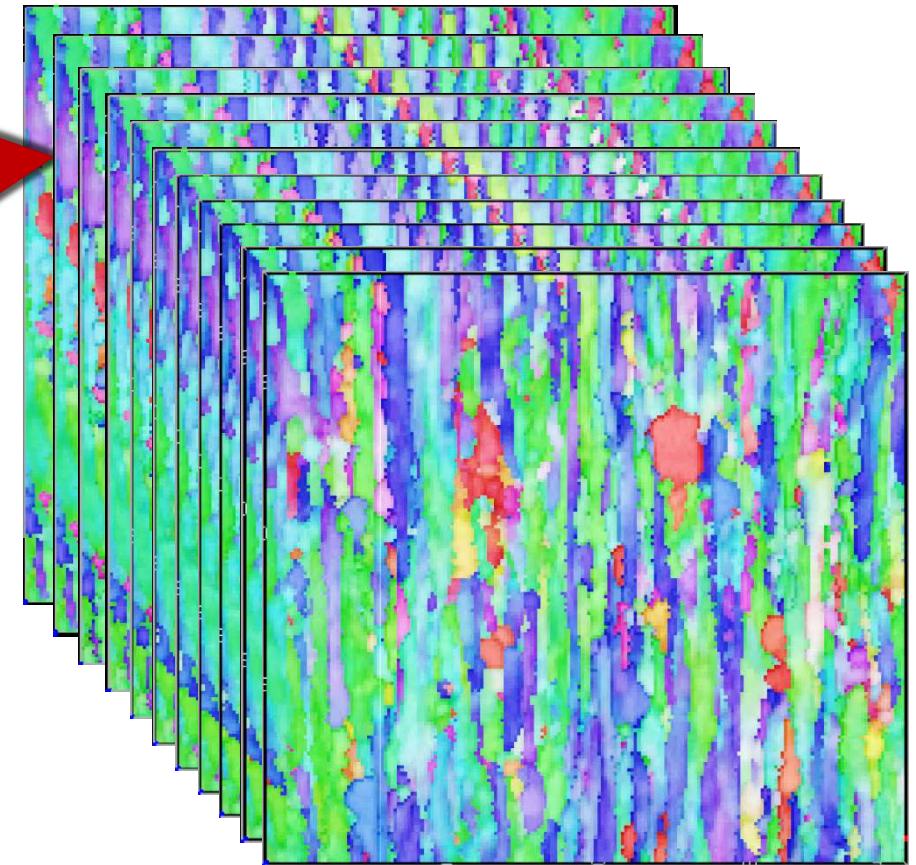
- Introduction: why 3D materials investigations and how to do 3D EBSD?
- Determination of geometrically necessary dislocation densities (GNDs) from 3D orientation fields
- 3D EBSD and modelling of recrystallization
- Grain boundary character and properties
- A new feature in QUBE: non-ridgid slice alignment
- Possibilities & Limitations



# What is 3D orientation microscopy or „3D EBSD“?



Original material



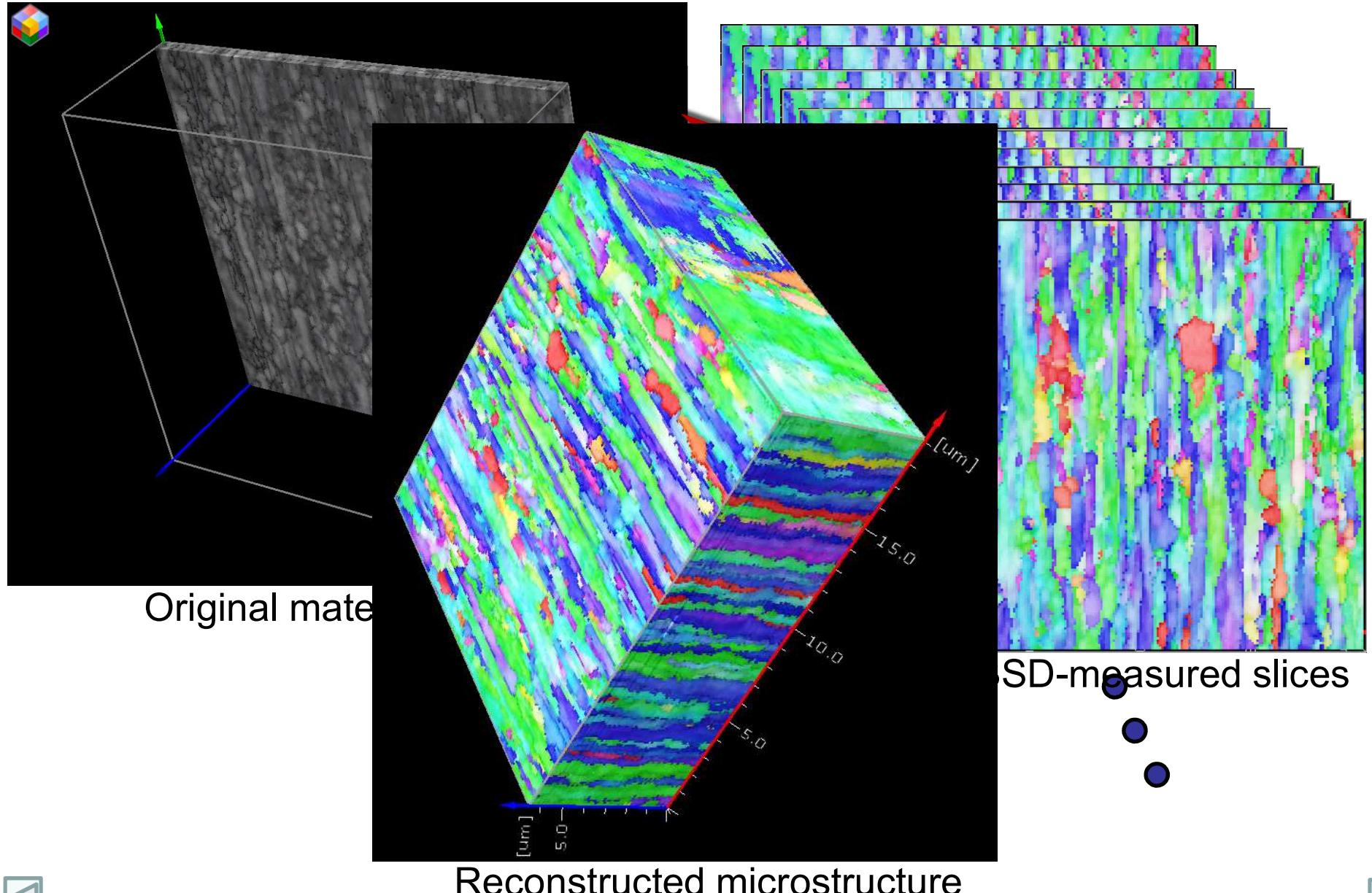
EBSD-measured slices



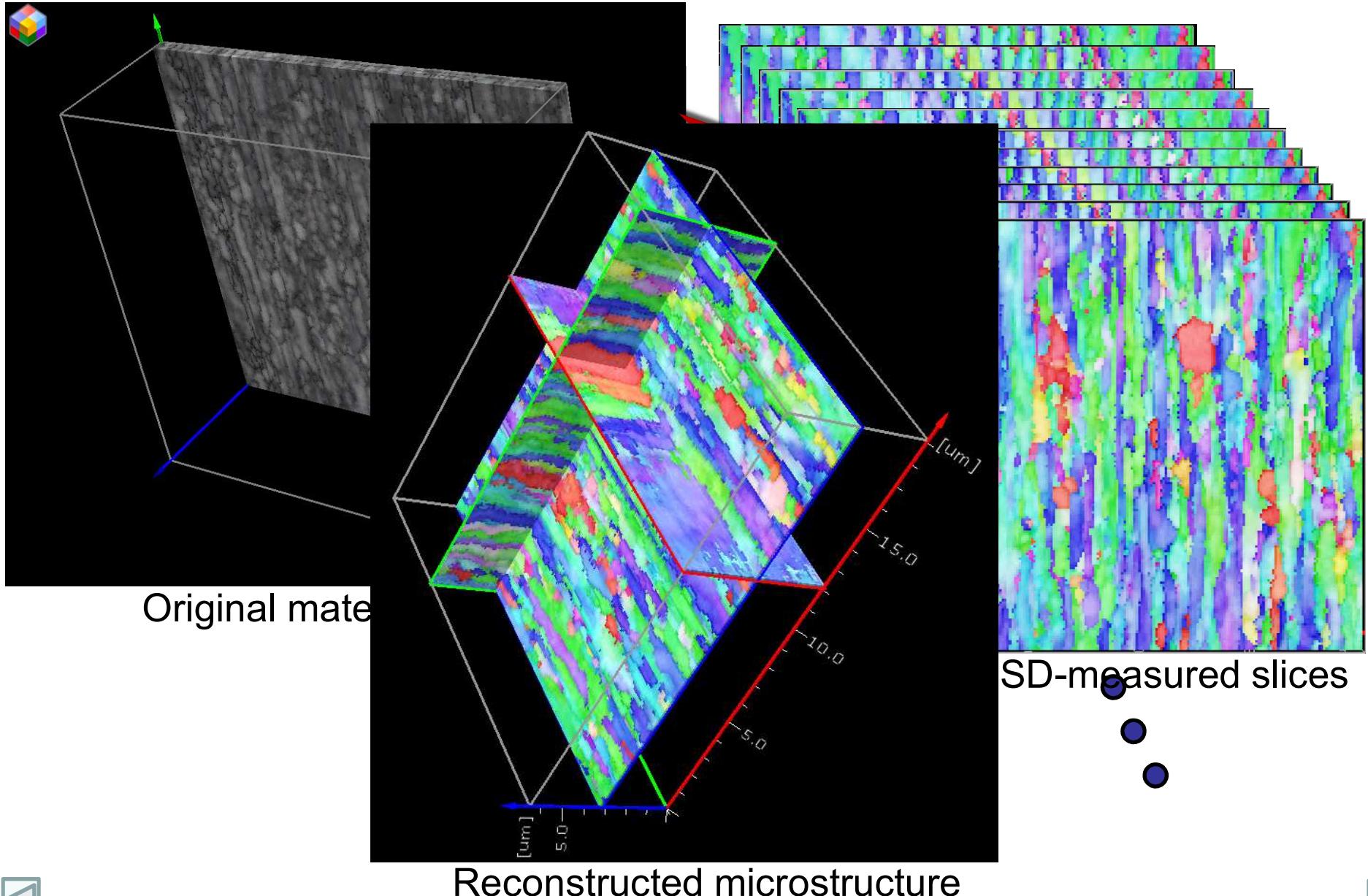
Reconstructed microstructure



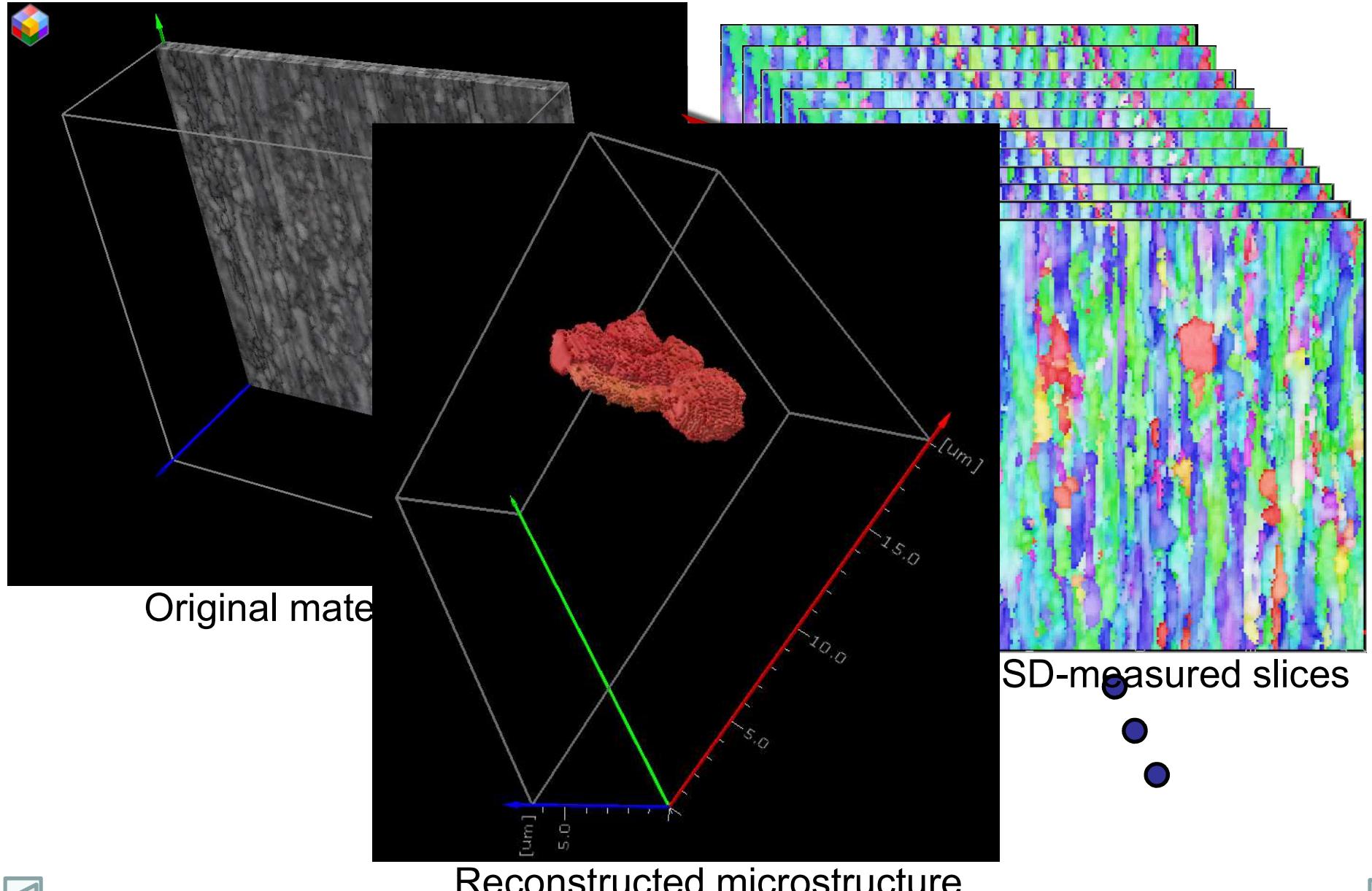
# What is 3D orientation microscopy or „3D EBSD“?



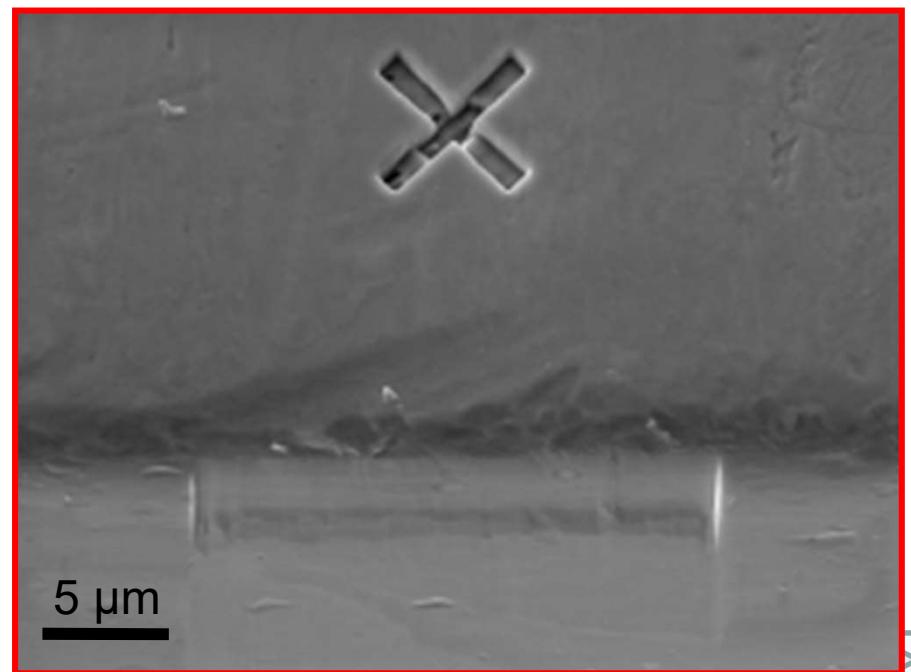
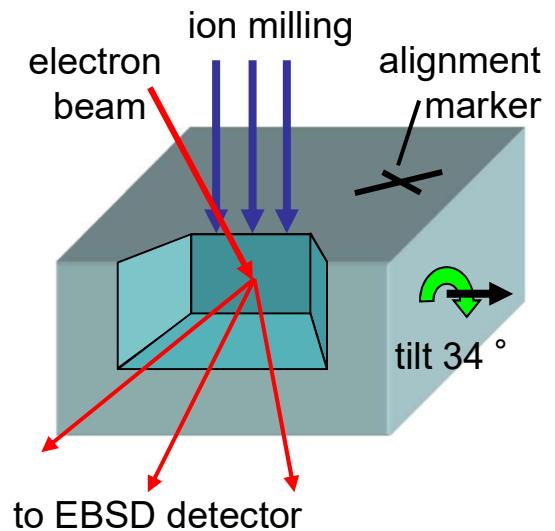
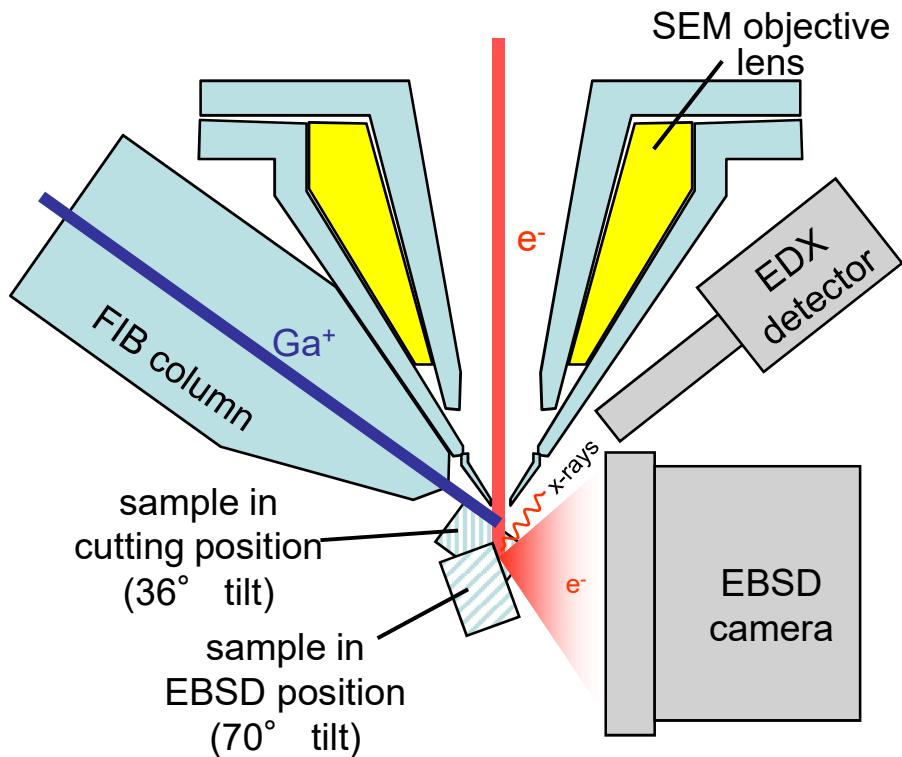
# What is 3D orientation microscopy or „3D EBSD“?



# What is 3D orientation microscopy or „3D EBSD“?



# How to do 3D EBSD?



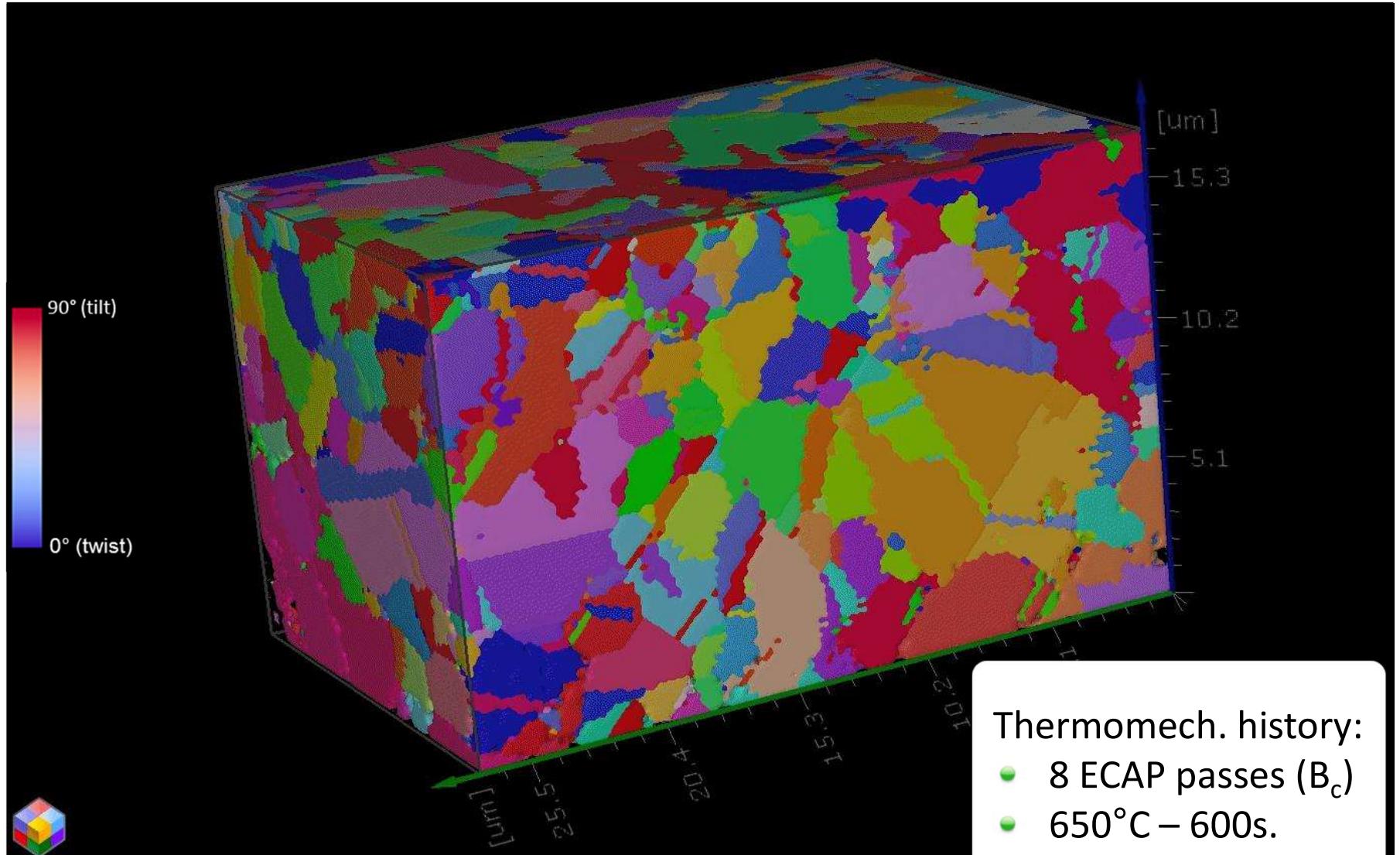
"tilt set-up"  
Zaefferer, Wright, Raabe,  
Mat. Trans. A (2008)



# Example: grain boundaries in Cu-0.17wt%Zr



Misorientation:  $60^\circ \langle 111 \rangle \pm 5^\circ \langle ... \rangle$

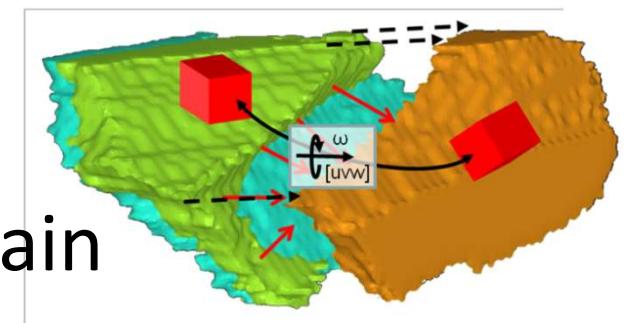
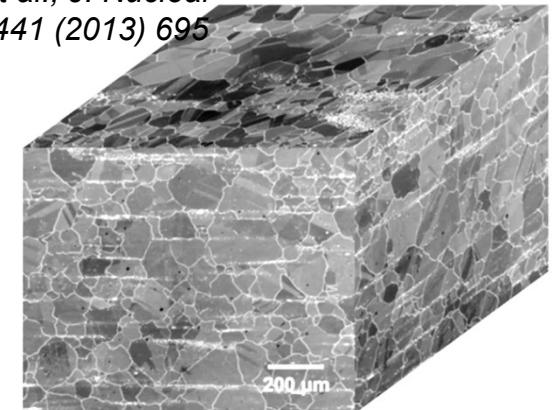


# Why 3D? What do we want/need to find out?

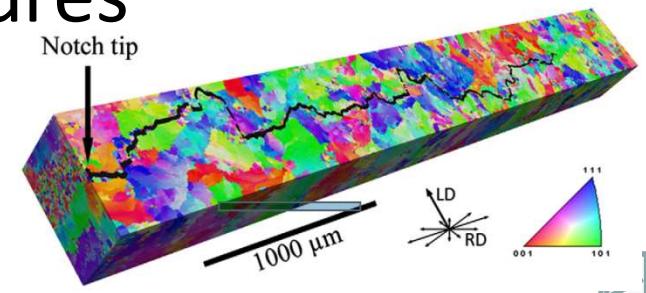


Kun Mo, et al., J. Nuclear Materials 441 (2013) 695

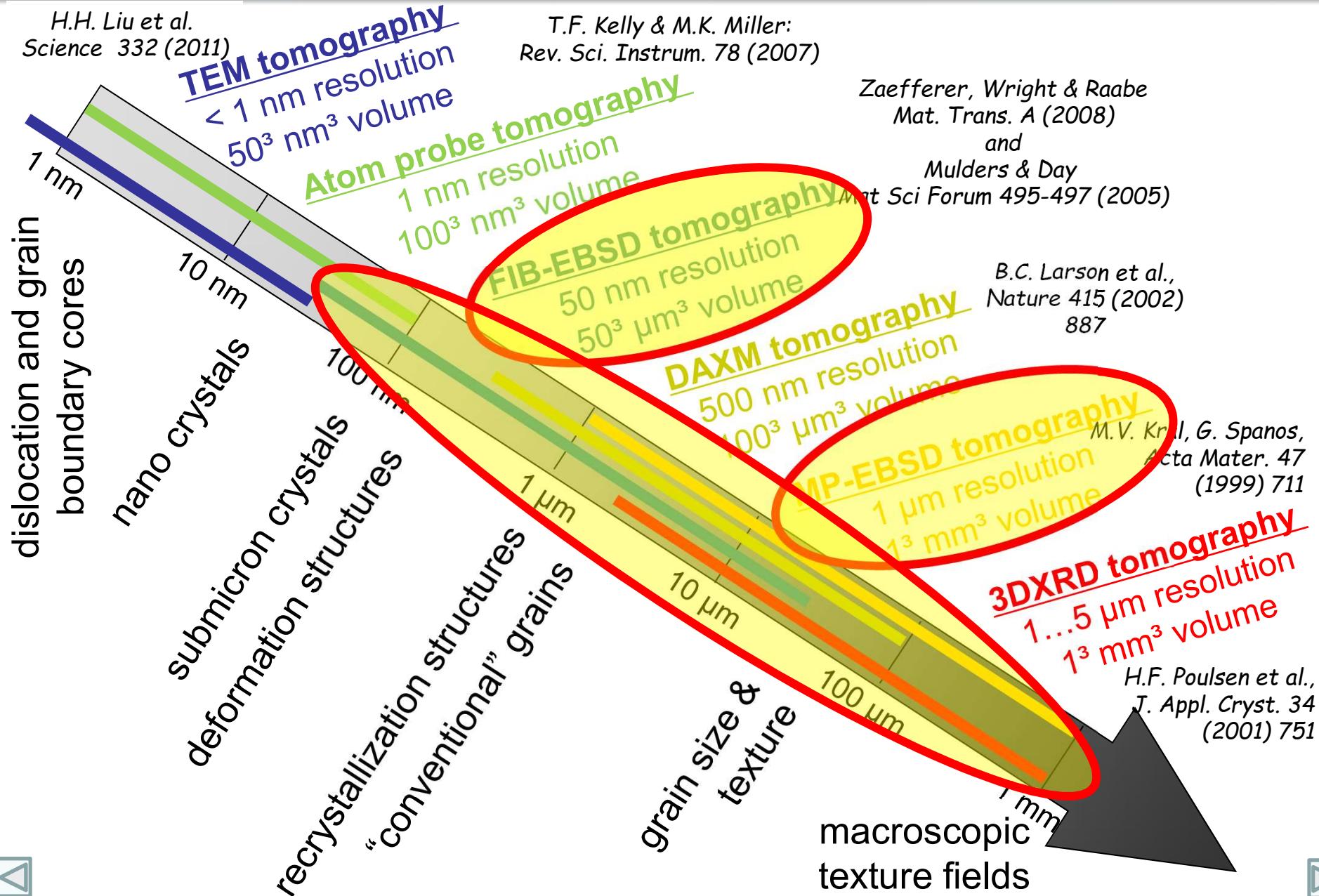
- Size, shape and arrangement of grains and phases in complex microstructures
- 3D-arrangement of defects (interfaces, dislocation, residual stresses)
- Comprehensive crystallographic grain boundary characterization
- Physical properties of microstructures
- Input data for simulation processes



Pirgazi et al, Mat. Character. 90 (2014), 13



# Length scale of 3D orientation microscopy

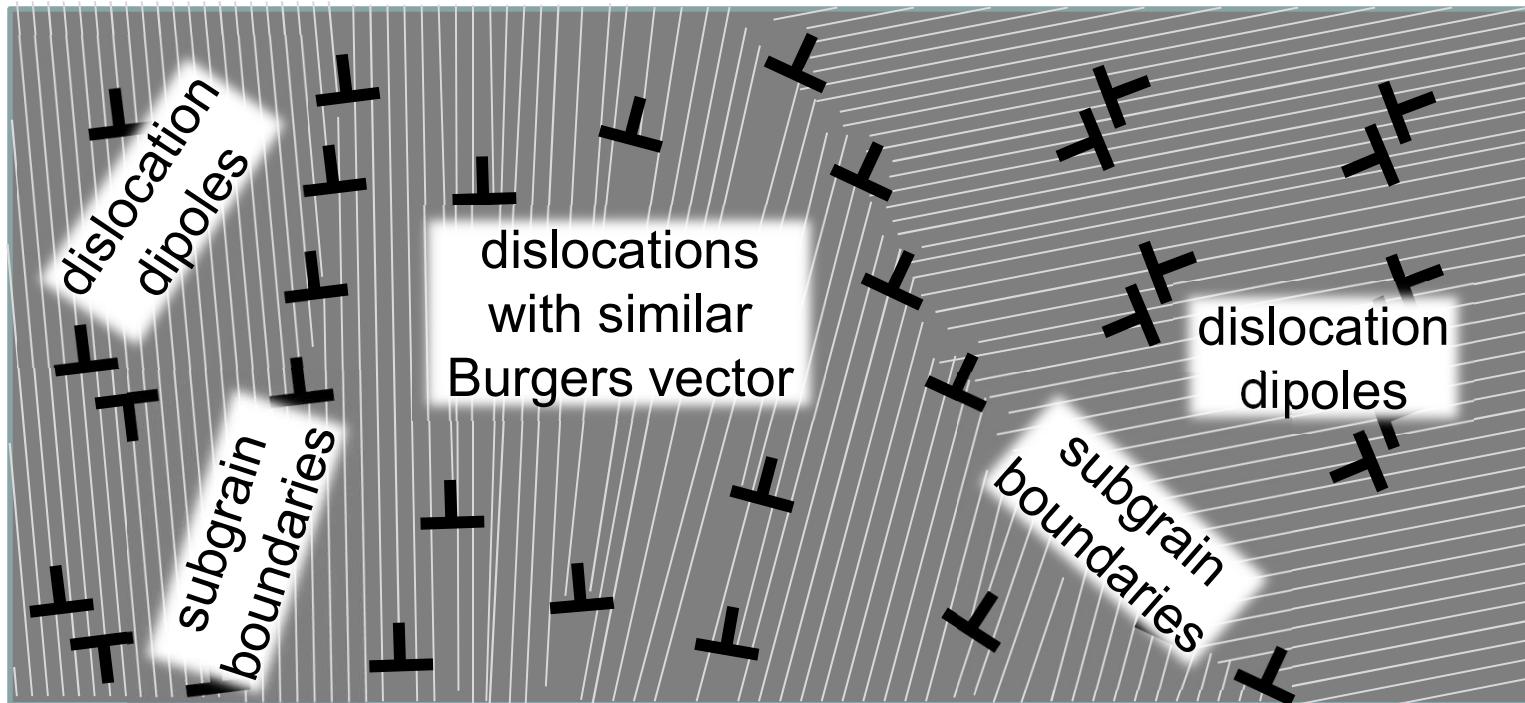




- Introduction: why 3D materials investigations and how to do 3D EBSD?
- Determination of geometrically necessary dislocation densities (GNDs) from 3D orientation fields
- 3D EBSD and modelling of recrystallization
- Grain boundary character and properties
- A new feature in QUBE: non-ridgid slice alignment
- Possibilities & Limitations



# Classification of dislocations



Geometrically necessary dislocations: GND  
⇒ Create measurable lattice rotations

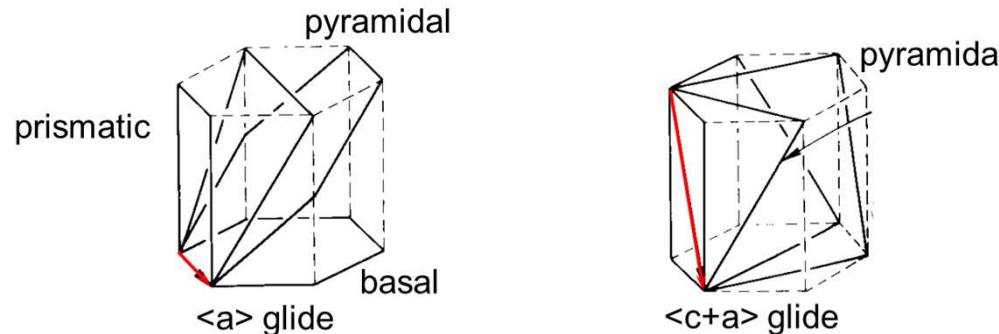
Statistically stored dislocations: SSD  
⇒ Only lead to pattern blurring



# GND determination using 3D EBSD



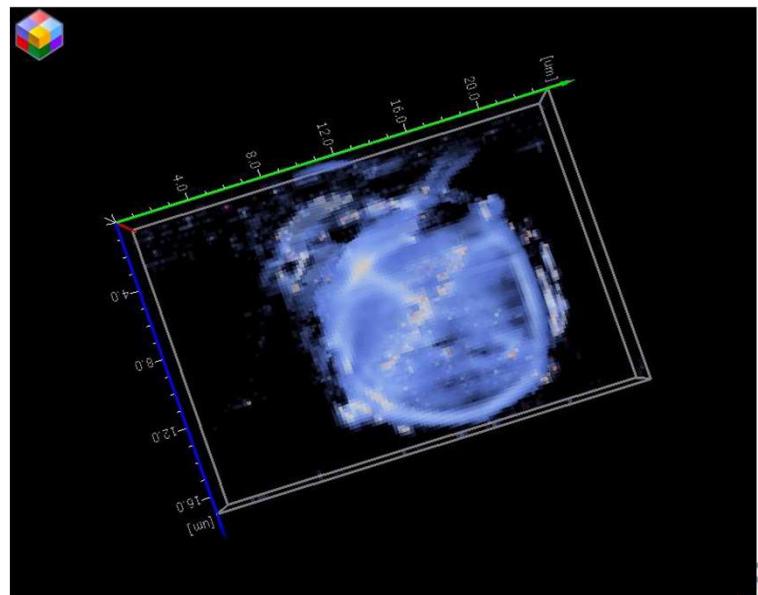
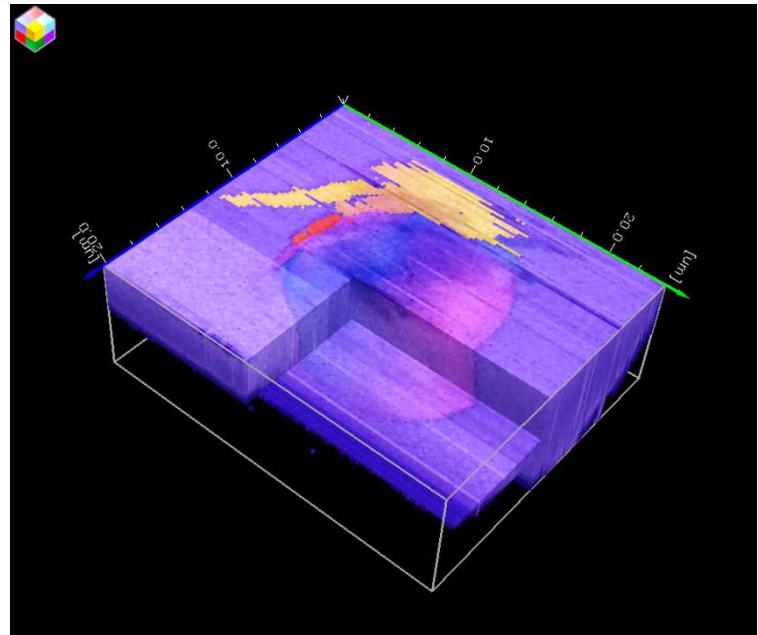
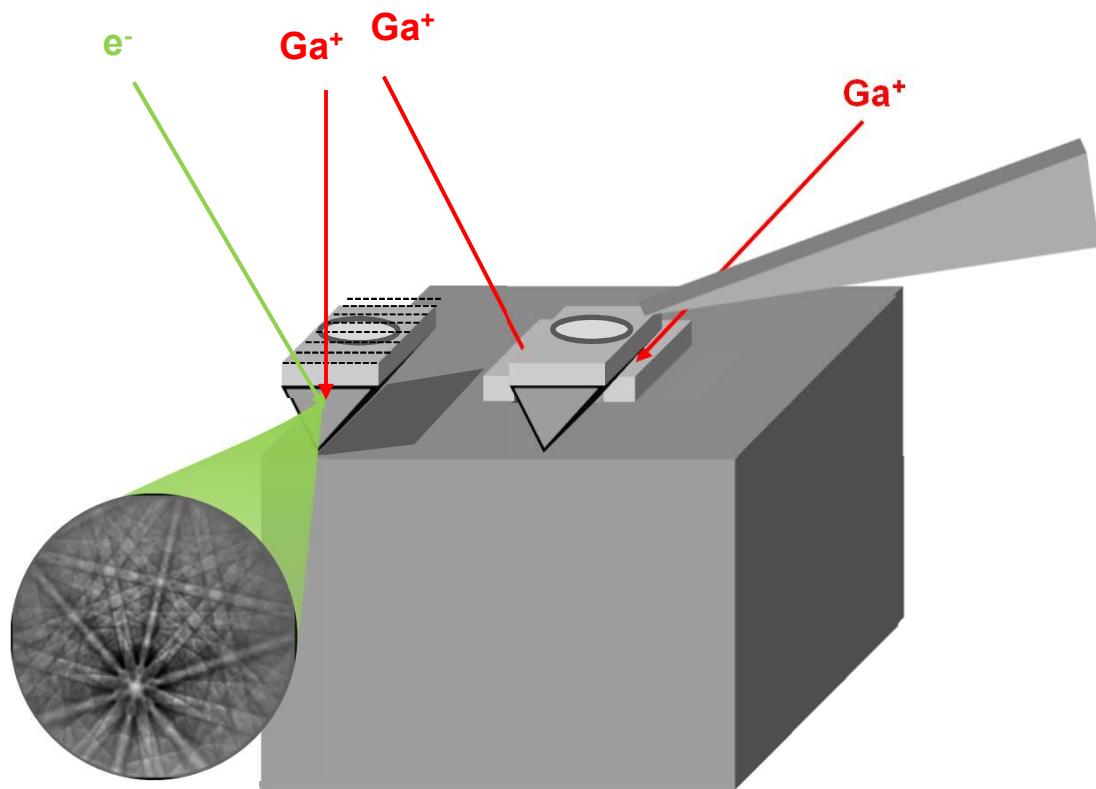
- GNDs quantify heterogeneity of plastic deformation
- 3D orientation data allow calculation of GND densities, separated for different types of dislocations



- The (slightly complicated) calculations make the following assumptions:
  - dislocations lead to lattice rotations („orientation gradients“)
  - each type of dislocation is associated with a characteristic rotation
  - from measurement of the rotations in space (via EBSD) the amount and type of dislocations can be determined



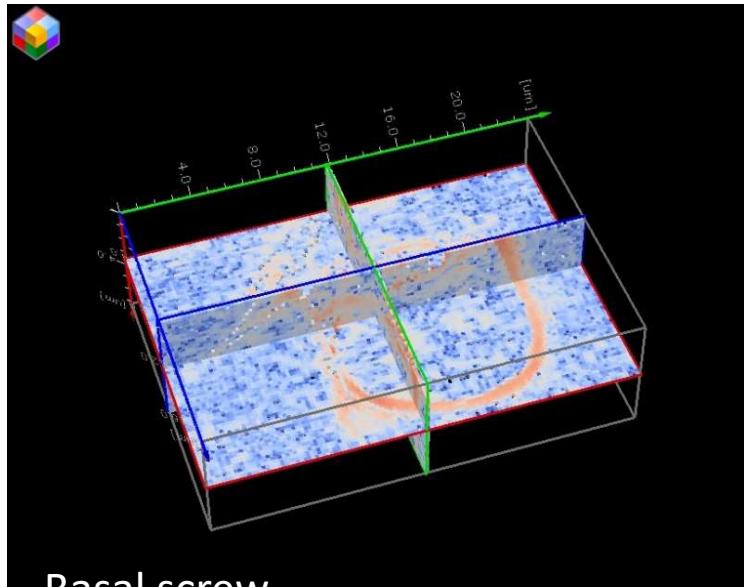
# 3D EBSD on nano indents in magnesium



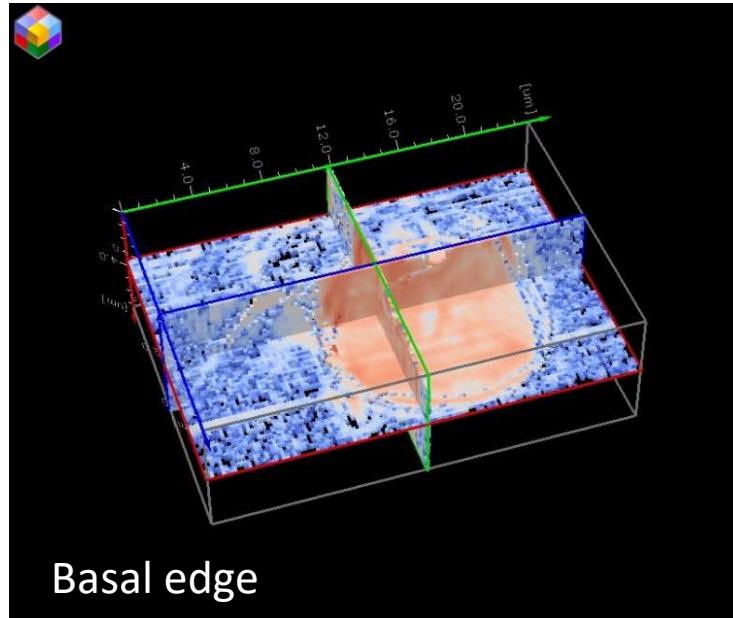
G. Nayyeri, et al. Mater Sci. Eng. A, **670** (2016), pp. 132-145



# GNDs under (0001) indent

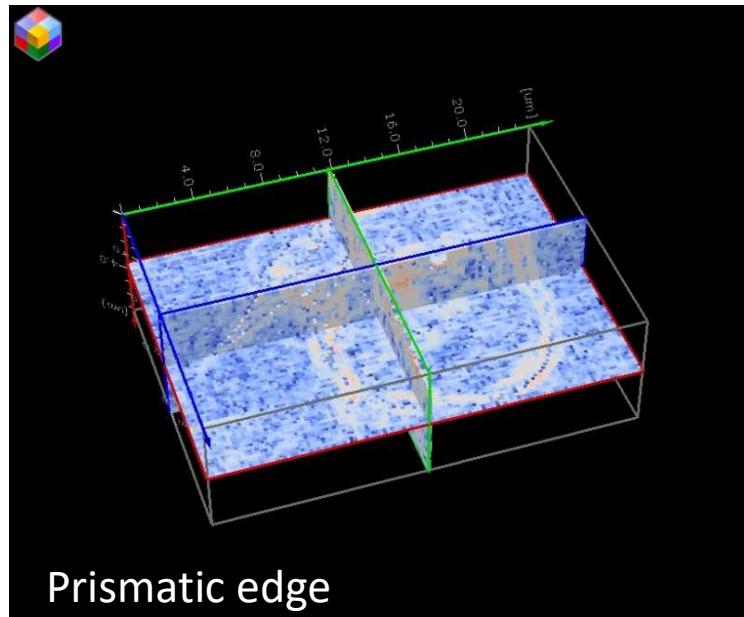


Basal screw

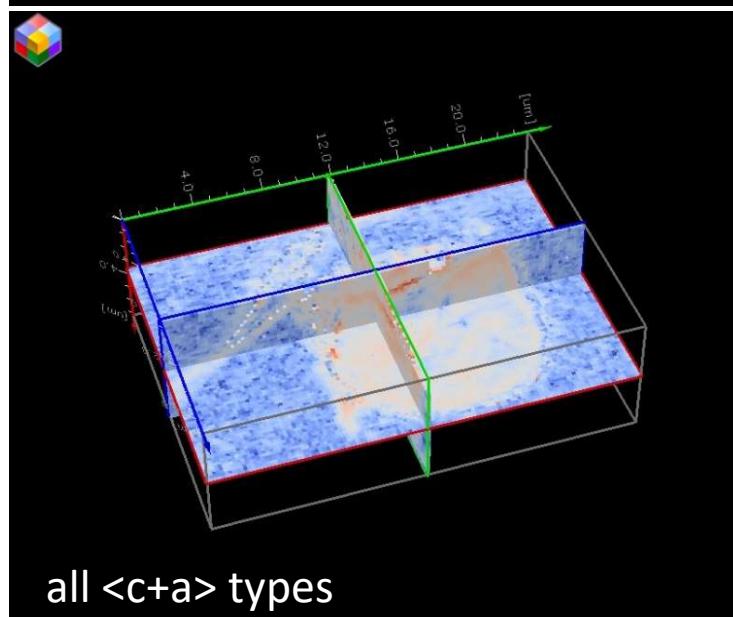


Dislocation  
density  
 $1 \cdot 10^{12}$  to  
 $2.7 \cdot 10^{15}$

Basal edge



Prismatic edge



all  $\langle c+a \rangle$  types

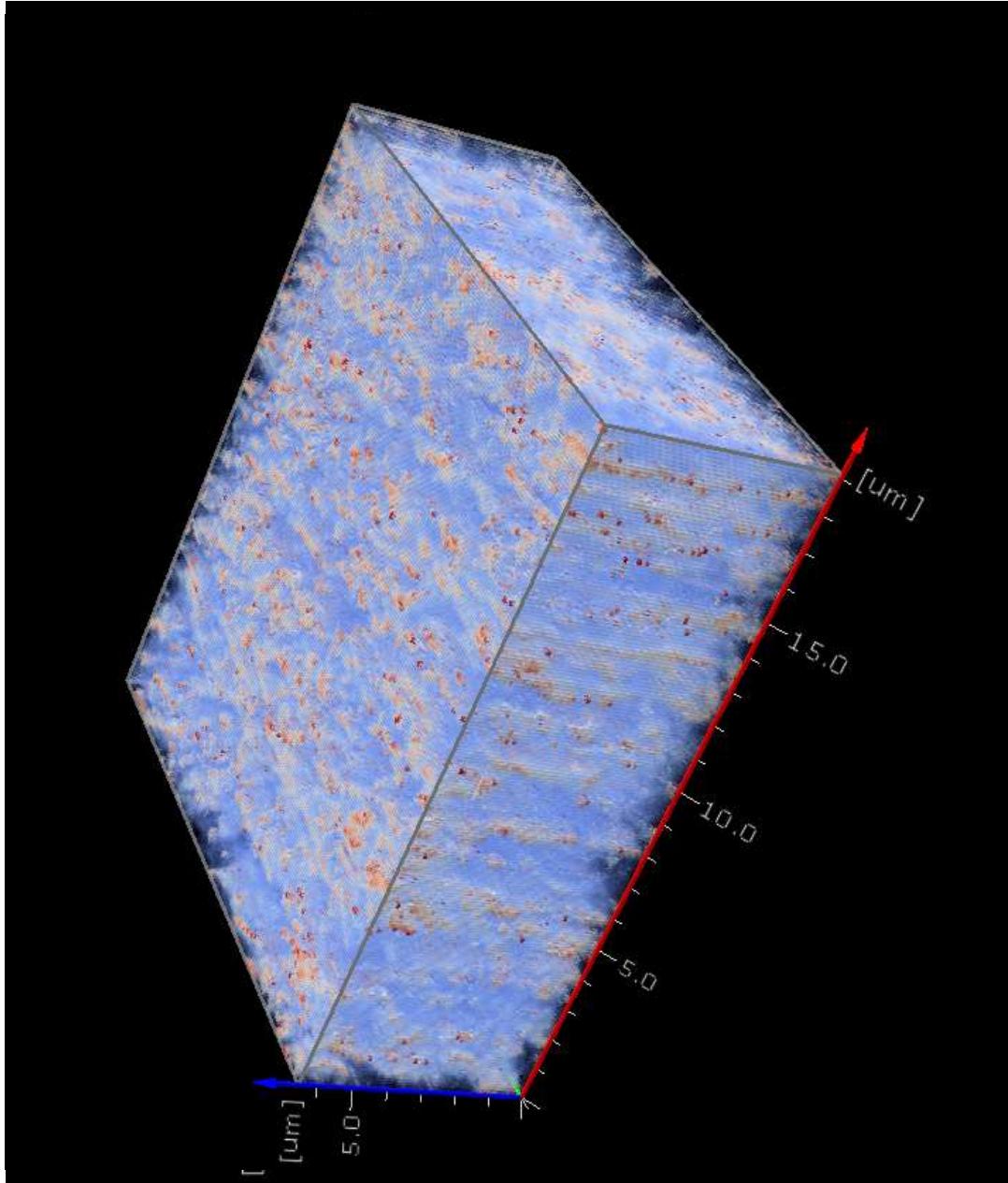




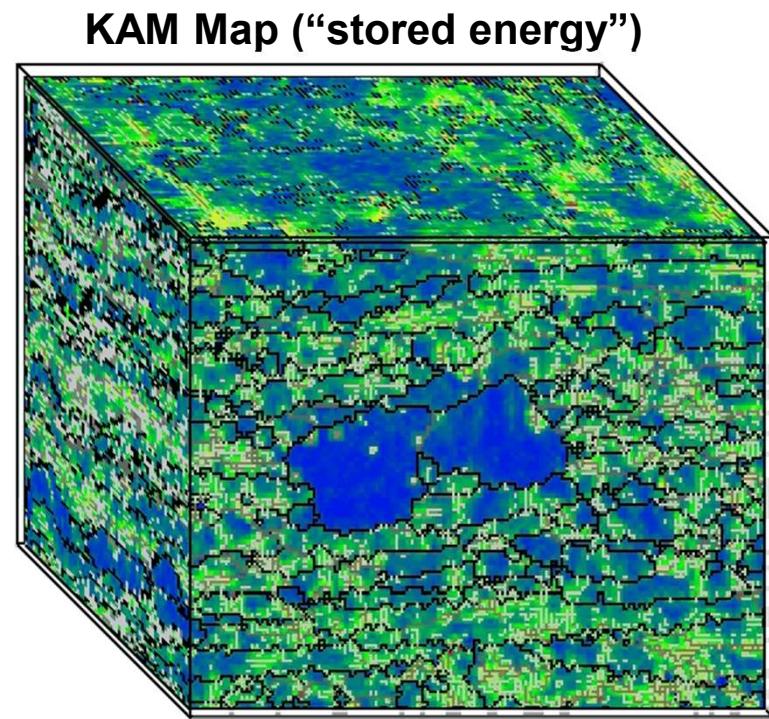
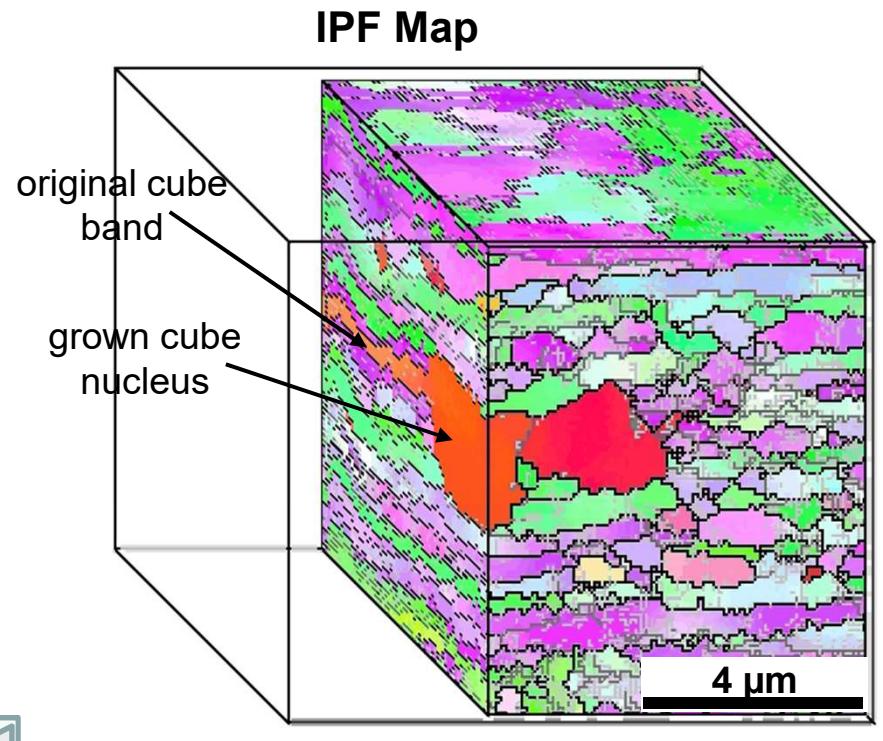
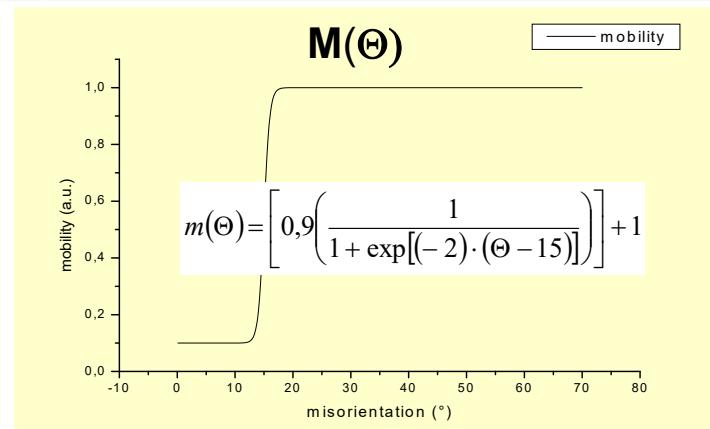
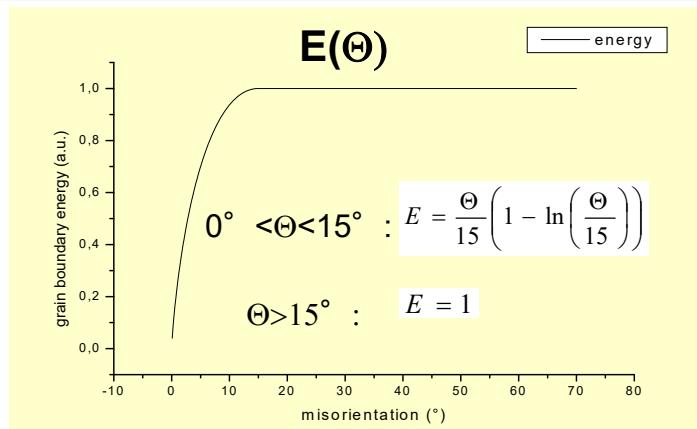
- Introduction: why 3D materials investigations and how to do 3D EBSD?
- Determination of geometrically necessary dislocation densities (GNDs) from 3D orientation fields
- **3D EBSD and modelling of recrystallization**
- Grain boundary character and properties
- A new feature in QUBE: non-ridgid slice alignment
- Possibilities & Limitations



# Observation of nucleation and stored energy



# 3D Monte-Carlo Potts model simulations

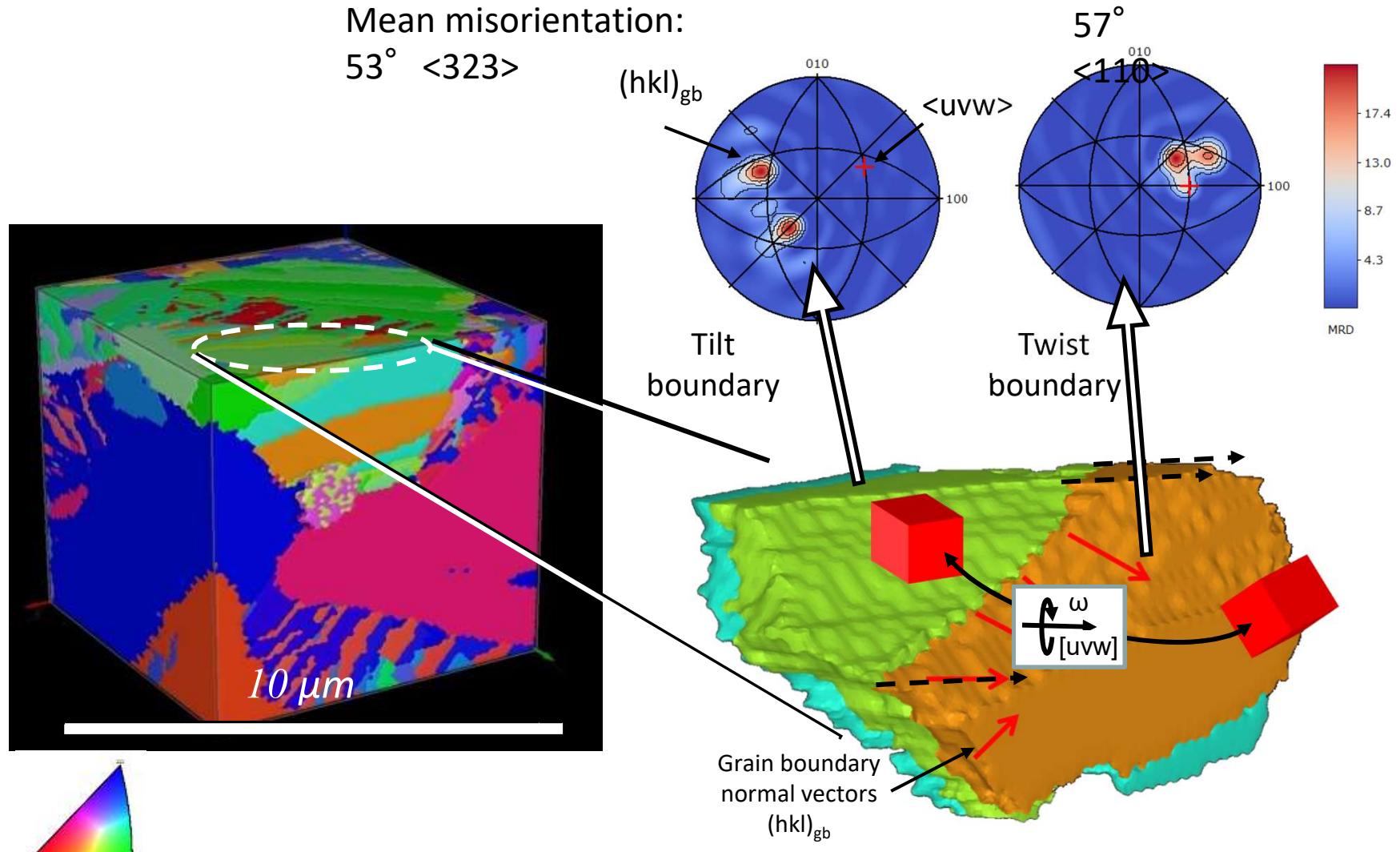




- Introduction: why 3D materials investigations and how to do 3D EBSD?
- Determination of geometrically necessary dislocation densities (GNDs) from 3D orientation fields
- 3D EBSD and modelling of recrystallization
- **Grain boundary character and properties**
- A new feature in QUBE: non-ridgid slice alignment
- Possibilities & Limitations



# Comprehensive description of grain boundaries



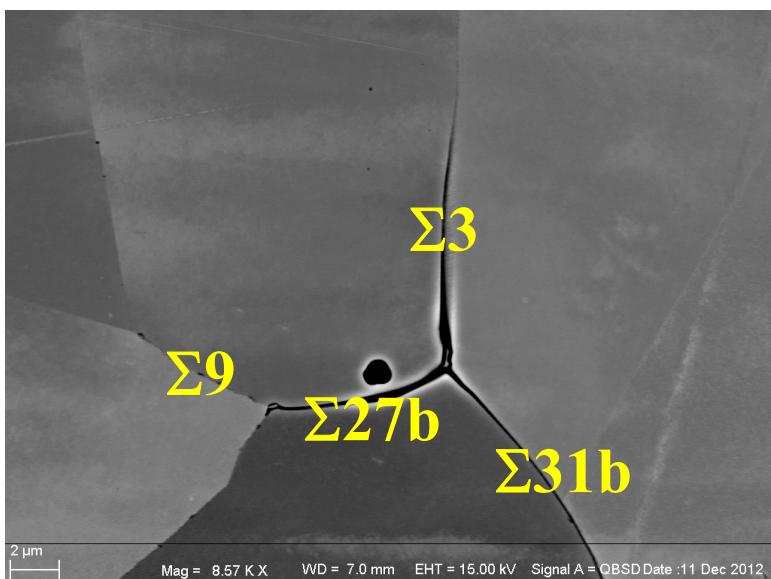
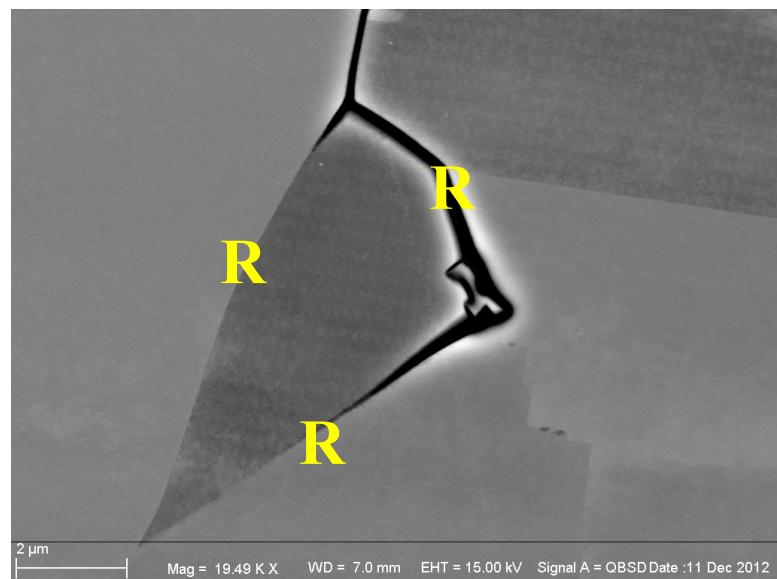
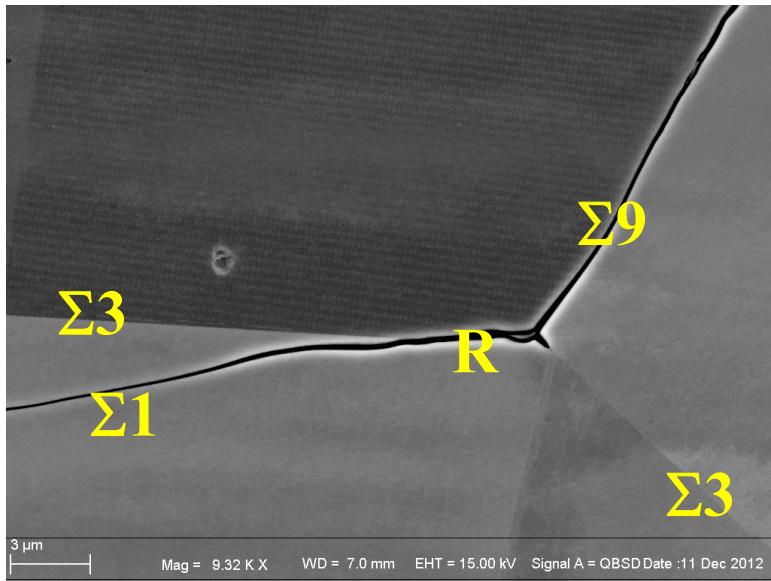
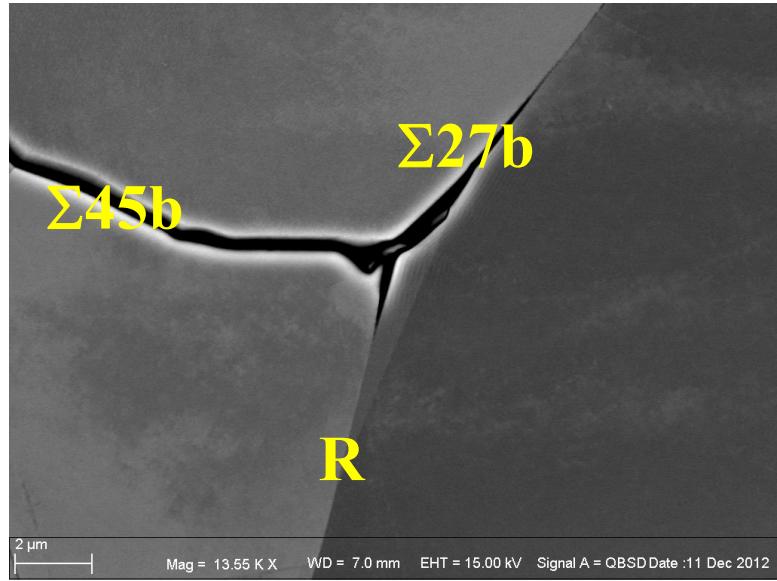
5 rotational parameters:  $\omega$  (1),  $\langle uvw \rangle$  (2),  $(hkl)_{gb}$  (2)



Measurement of grain boundary character requires 3D observation



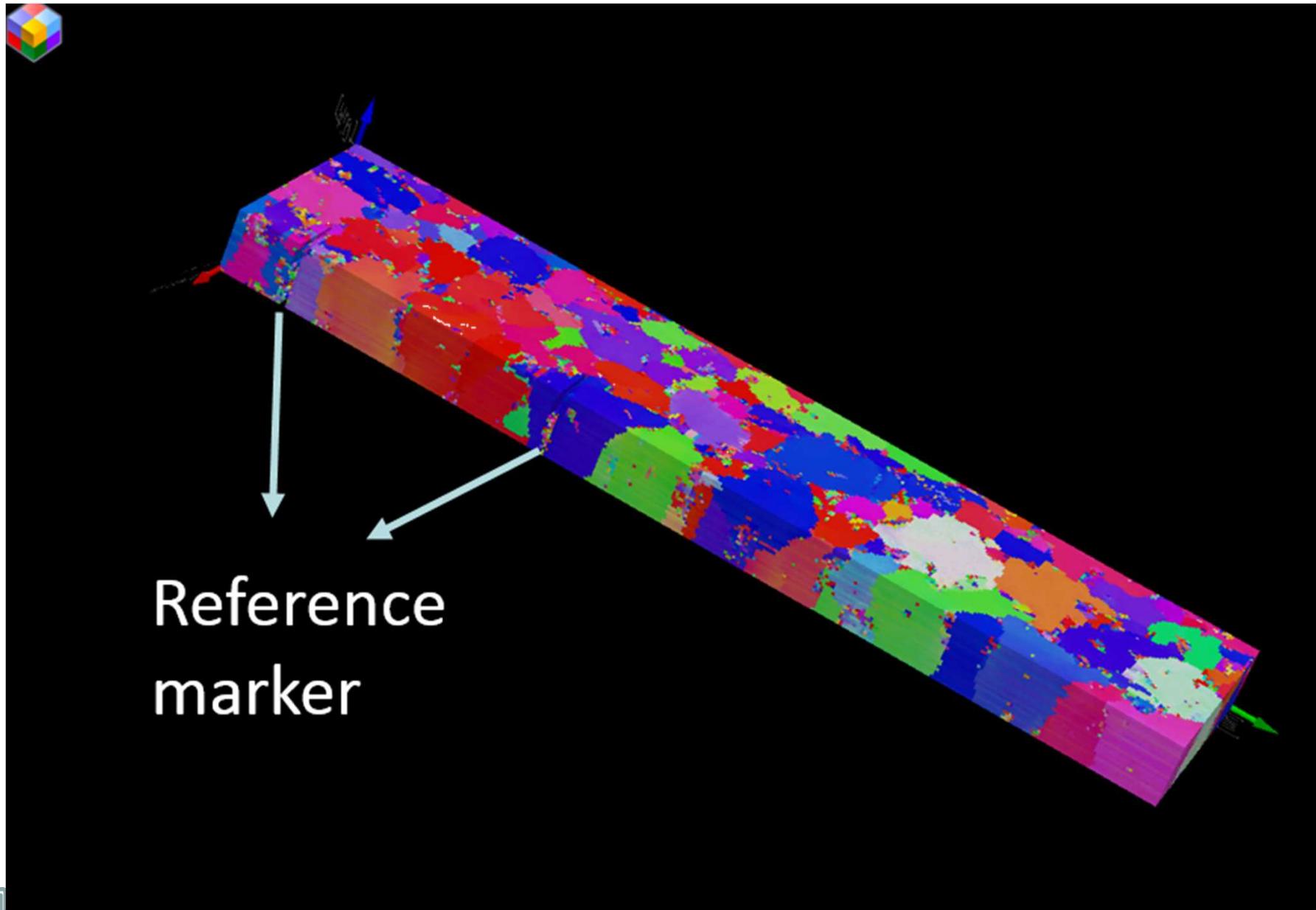
# Chemical properties: corrosion of boundaries



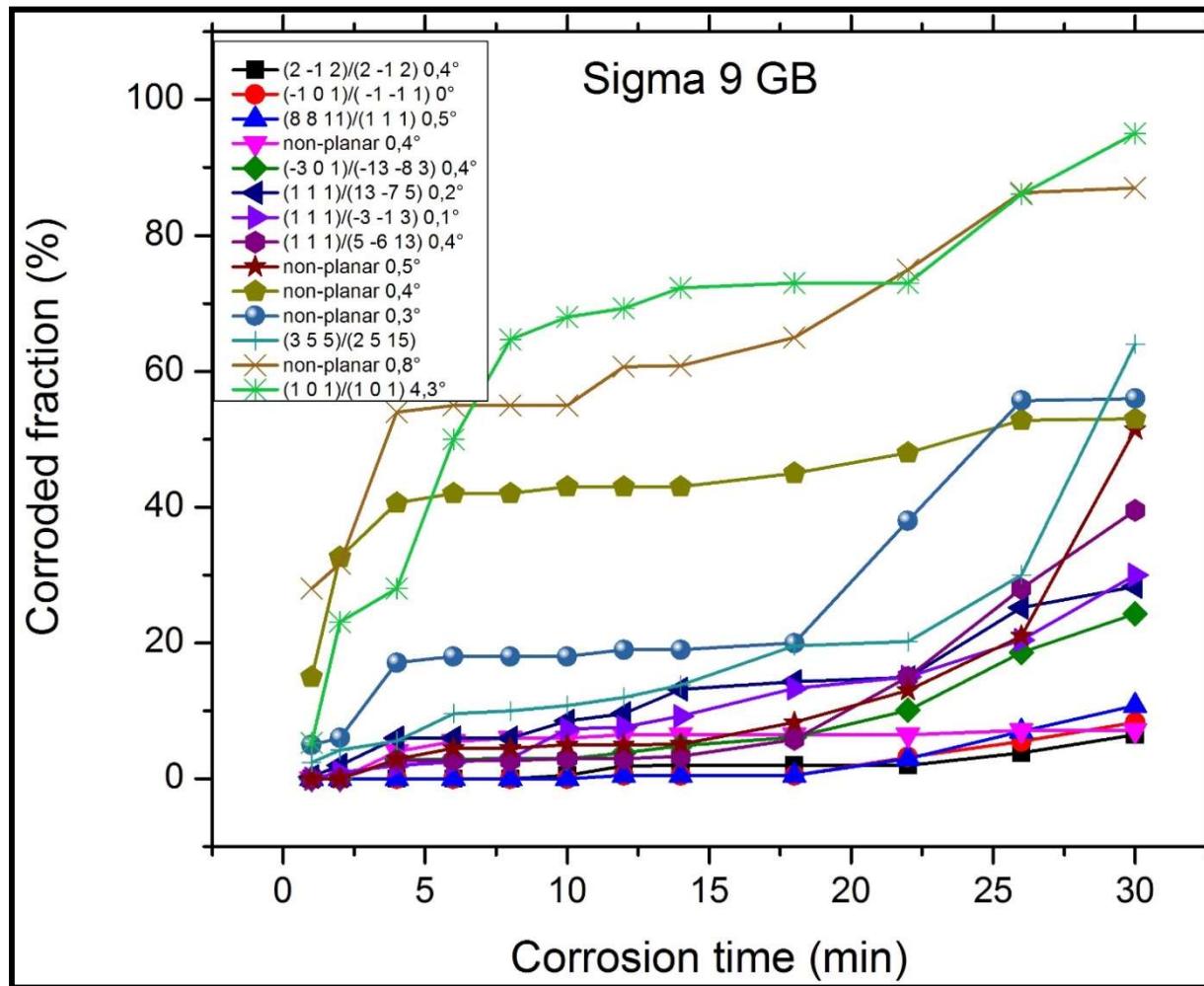
Corrosion behaviour is not only depending on misorientation



# Large-size 3D EBSD map (mechanical sectioning)



# Corrosion results of $\Sigma 3n$ GBs



- $\Sigma 9$  with coherent or low Miller index GB plane have higher corrosion-resistance than those with high Miller index GB plane or non-planar GBs.
- $\Sigma 9$  with large deviation angle are easily corroded.



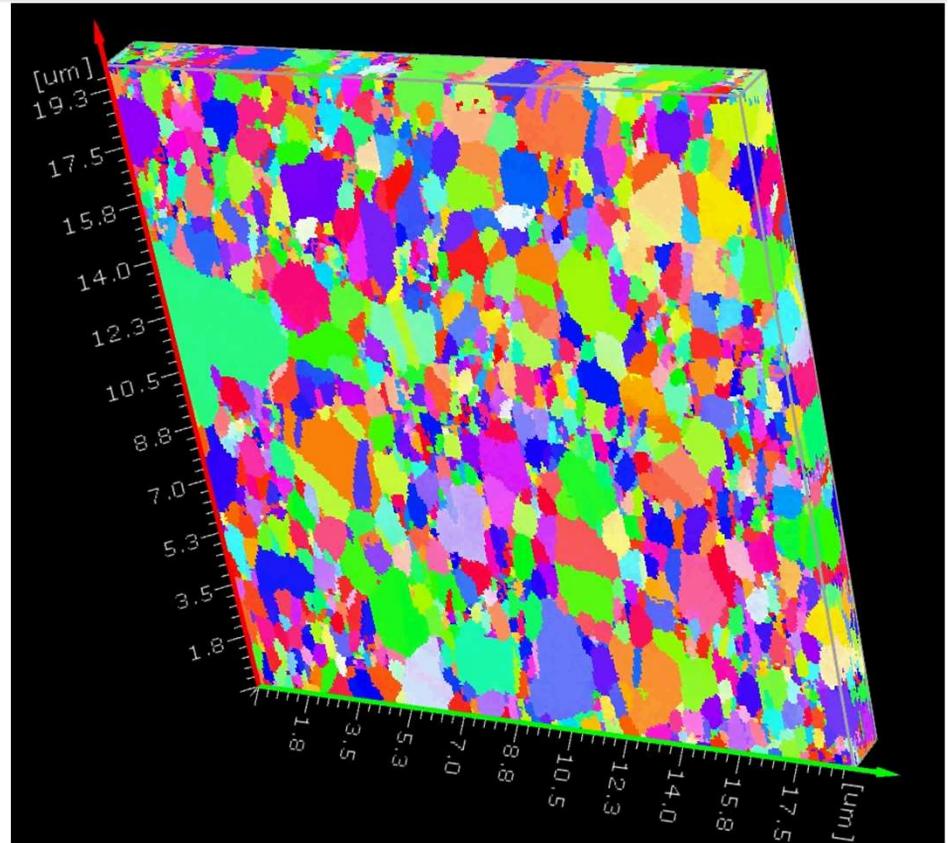


- Introduction: why 3D materials investigations and how to do 3D EBSD?
- Determination of geometrically necessary dislocation densities (GNDs) from 3D orientation fields
- 3D EBSD and modelling of recrystallization
- Grain boundary character and properties
- A new feature in QUBE: non-ridgid slice alignment
- Possibilities & Limitations



## Sources of beam drift:

- Electro static (de)charging
- Thermal effects (e.g. lens cooling water)
- Mechanical relaxation (stage)
- Magnetic fields (lens)
- Surface roughness (e.g. curtaining)
- Incorrect sample repositioning

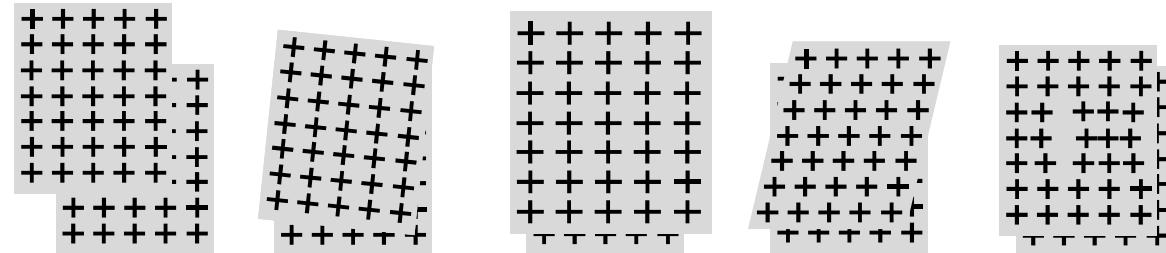


## Post acquisition correction methods:

- Methods using reference features
- Minimization of total slice misorientation
- Minimization of scan line misorientation
- Relaxational methods (e.g. Monte Carlo Potts)



# Errors by type



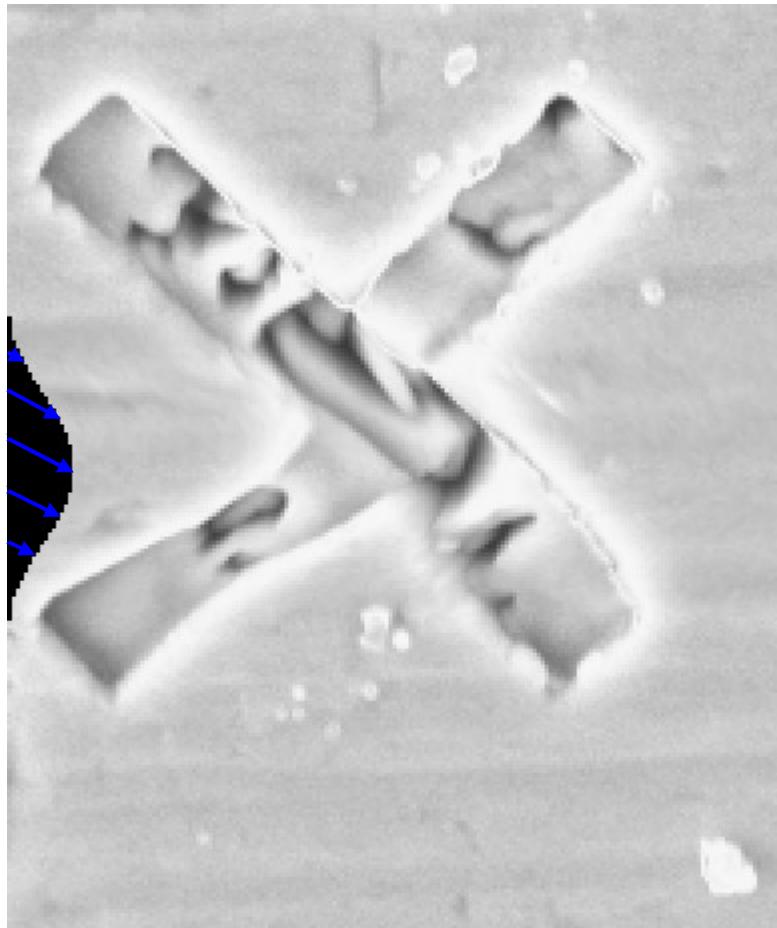
	Error	Translation	Rotation	Scaling	Shearing	Warping	
Transform	Rigid	✓	✓				Parametric
	Similarity	✓	✓	✓			
	Affine	✓	✓	✓	✓		
	Non-rigid					✓	

Non-rigid alignment of fundamental importance  
for the quality of all subsequent analysis:

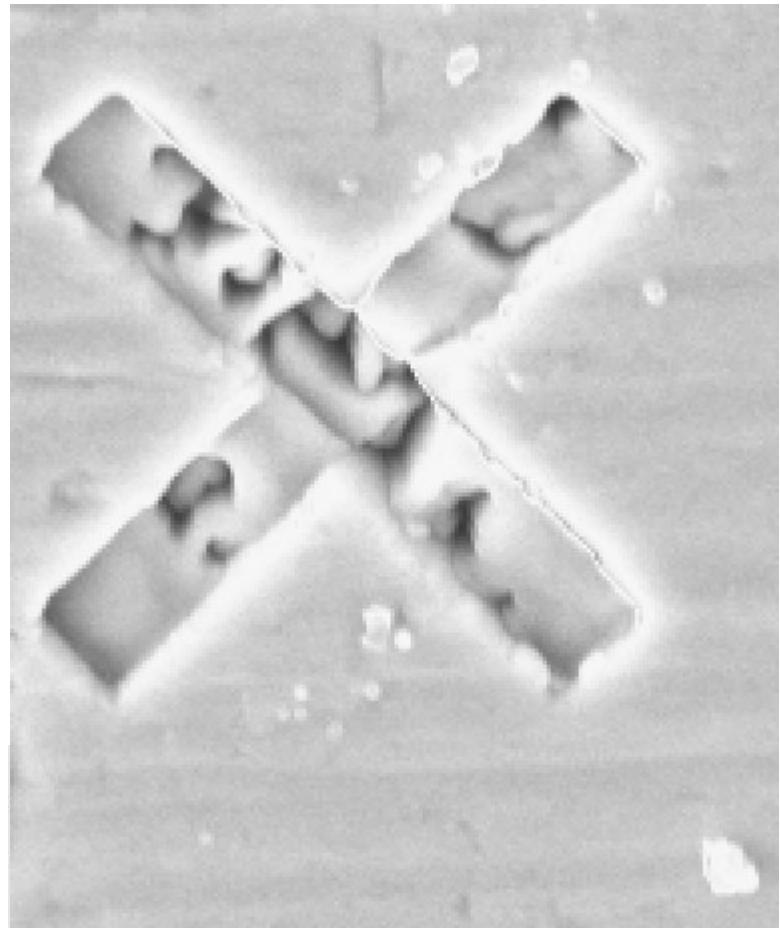
- Feature or intensity based
- Spatial or frequency domain
- Unimodal / multimodal



# Validation 2(2)



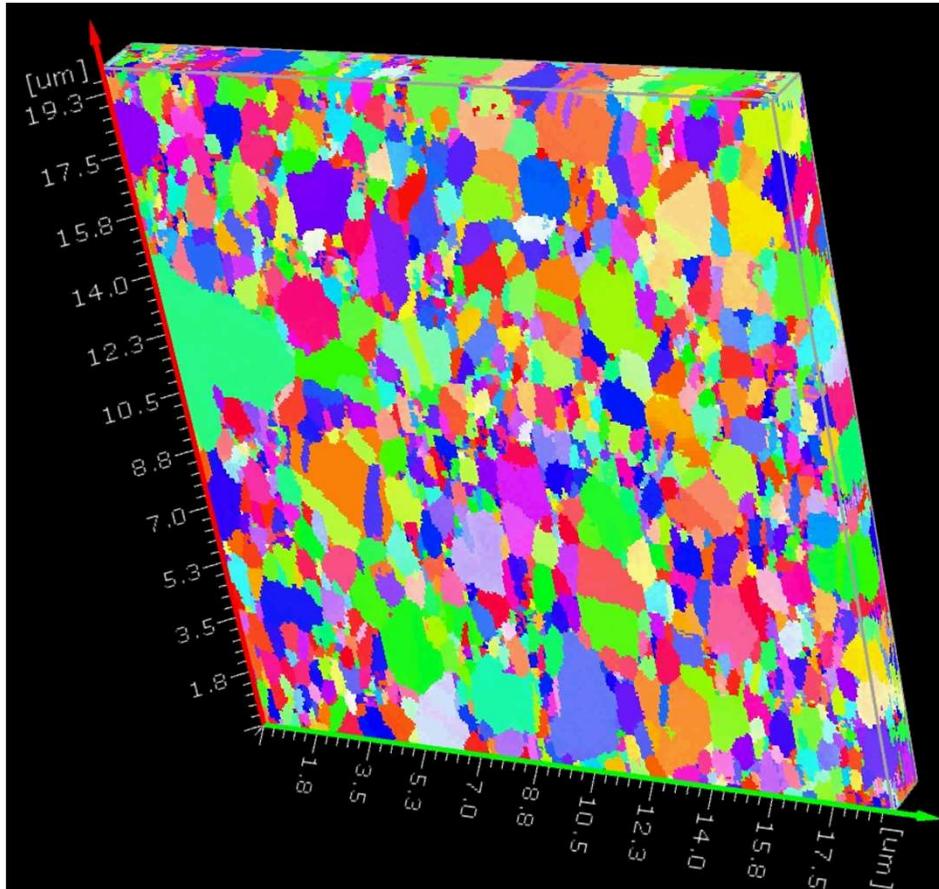
Generic row-wise drift (X and Y)



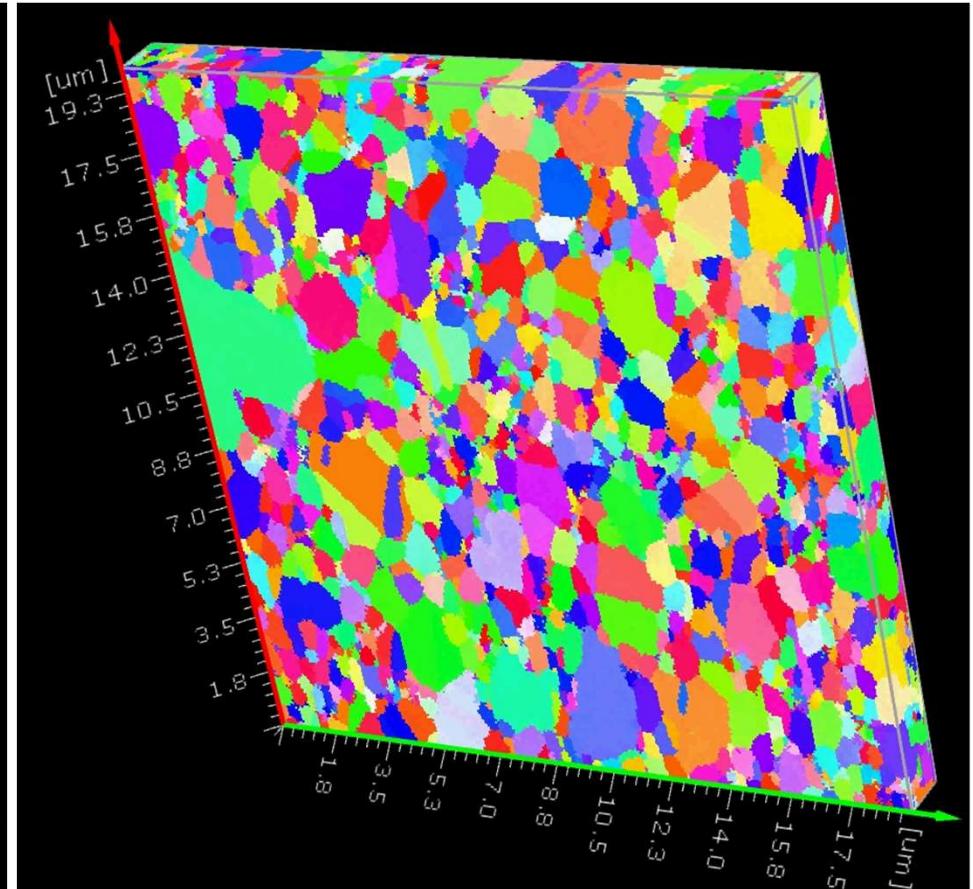
Original



# Example: non-rigid alignment



before alignment



after alignment





- 3D EBSD is a versatile and powerful technique
  - Crystallographic characterization of grains, phases, interfaces, dislocations, cracks, etc
  - 5-parameter grain boundary characterization is unique
  - Combination with compositional characterization via EDX
  - Ideal combination with simulation tools
- Time consuming technique (measurement & analysis):
  - one order of magnitude more data usually means one order of magnitude longer measurements
- Statistical relevance of measured data?
- Artefacts and imperfections:
  - slice alignment and depth removal rates with serial sectioning
- Destructive technique: only static microstrctrs observable

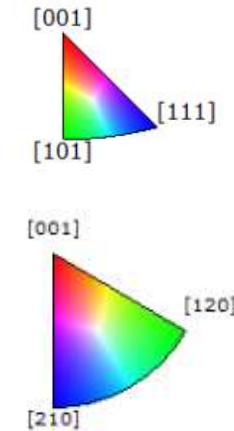
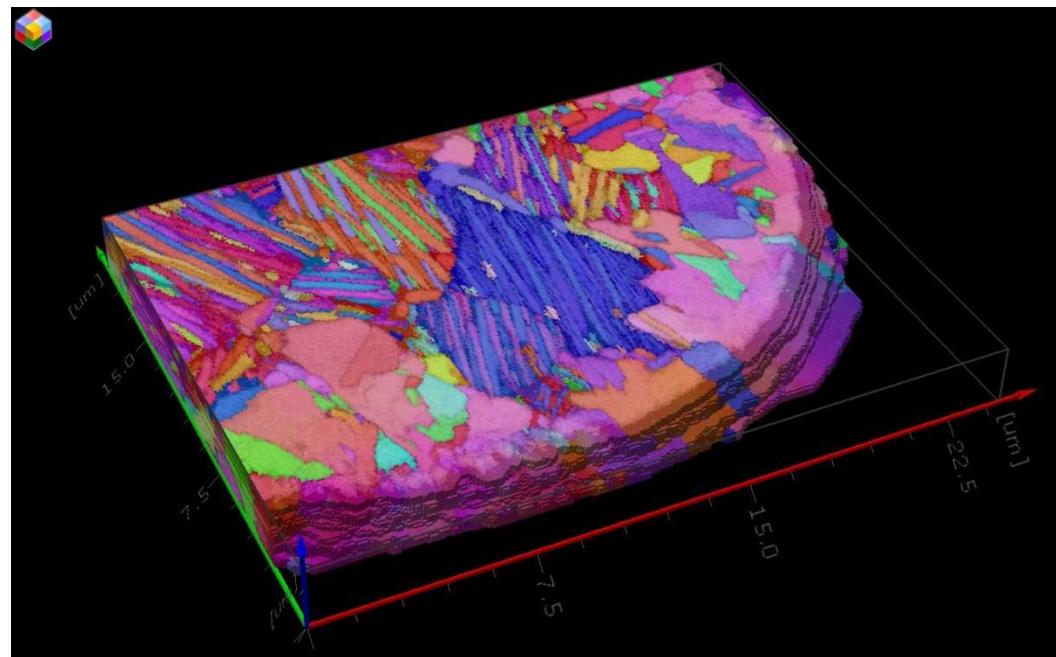


# ESPRIT QUBE

## Data postprocessing – Subsetting & visualization



- Powder metallurgy particle
- Phases: Brass & intermetallic phase (trigonal)
- Serial sectioning using a Ga FIB-SEM with the static method
- Slice preparation time: ~5min/slice
- 3D EBSD/EDS data acquisition time: ~9min/slice

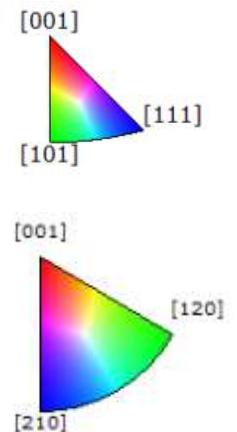
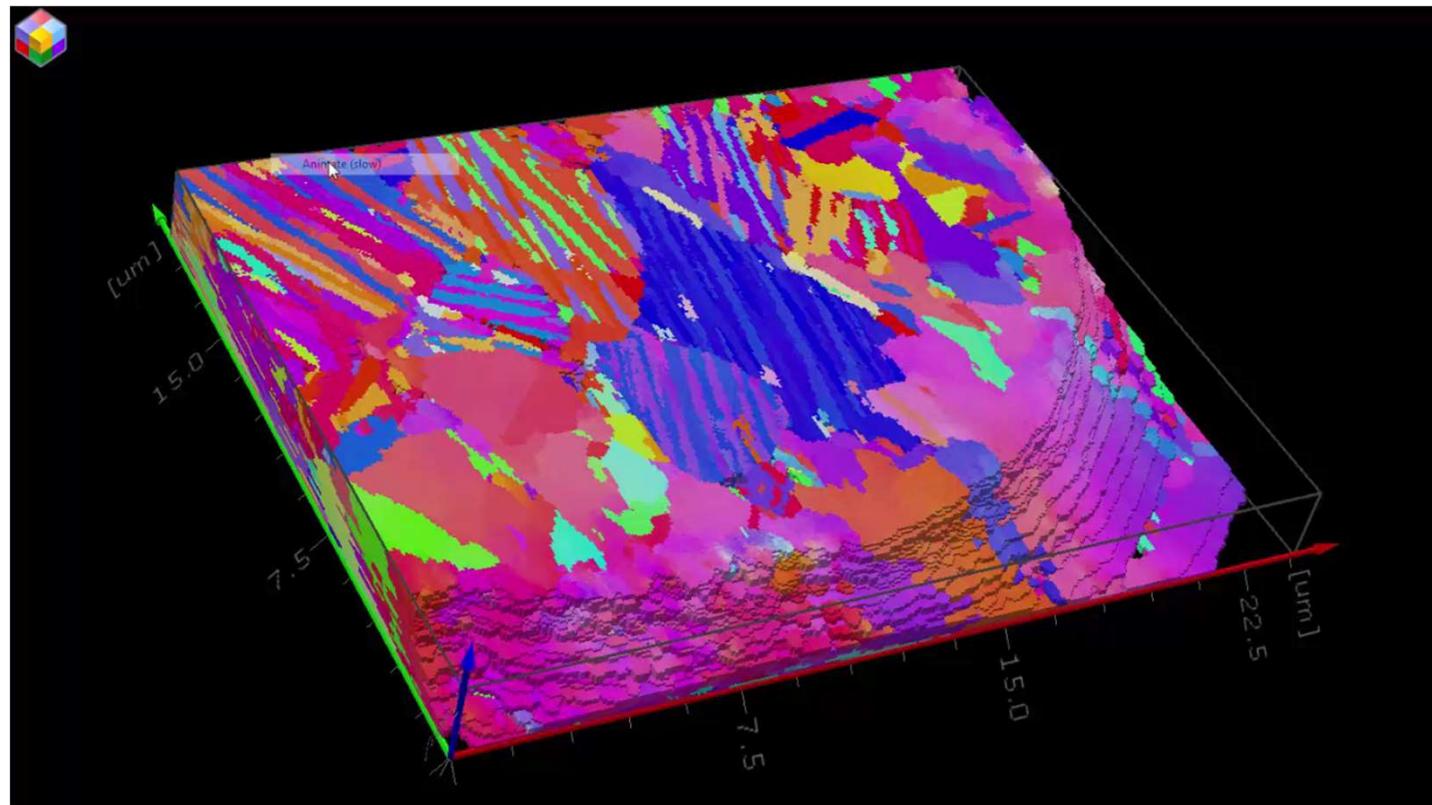


# ESPRIT QUBE

## Data postprocessing – slice realignment



3D EBSD – 27 slices – no realignment applied



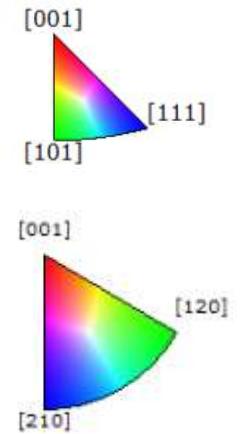
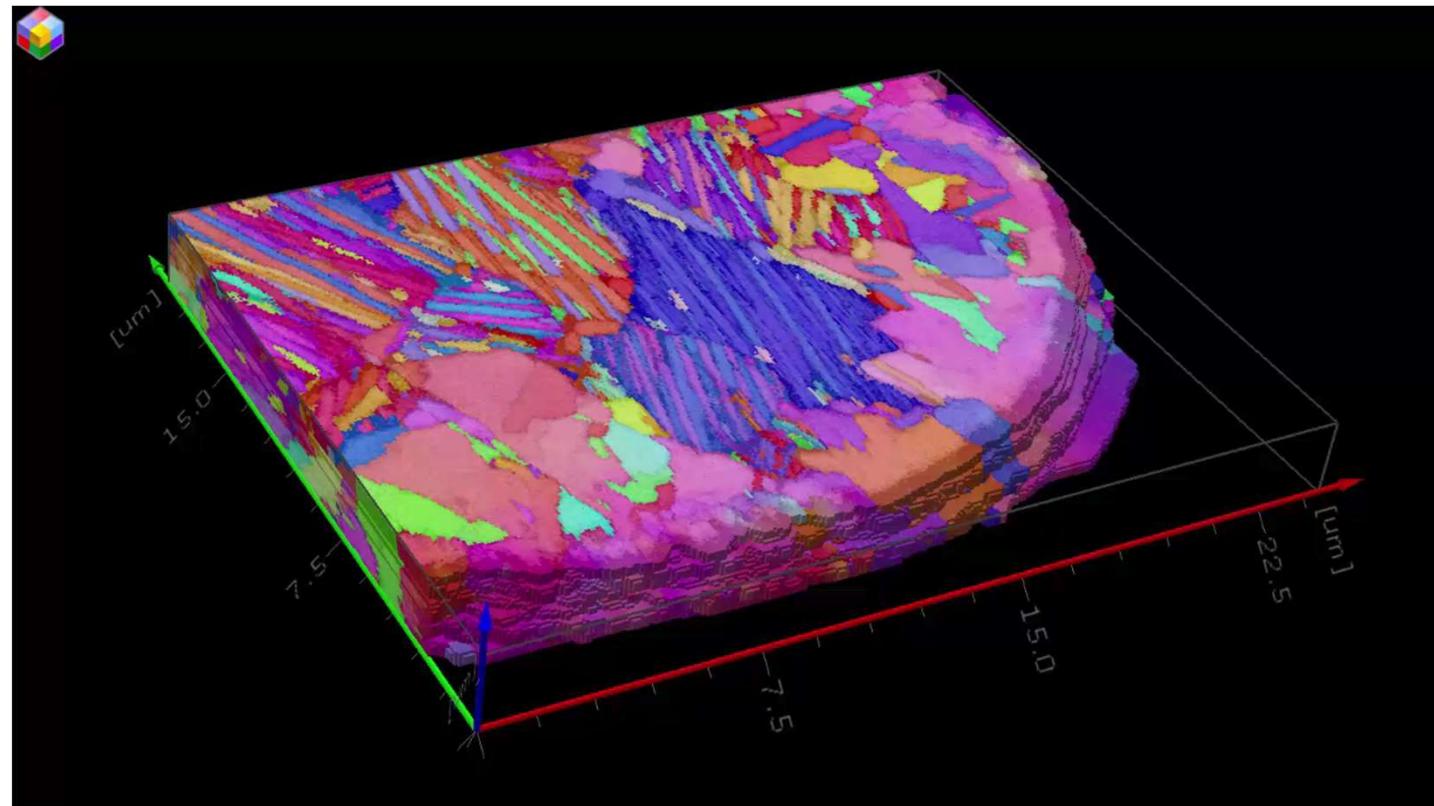
- Strong initial misalignment due to particle instability

# ESPRIT QUBE

## Data postprocessing – slice realignment



3D EBSD – 27 slices – Euler angles based slice realignment



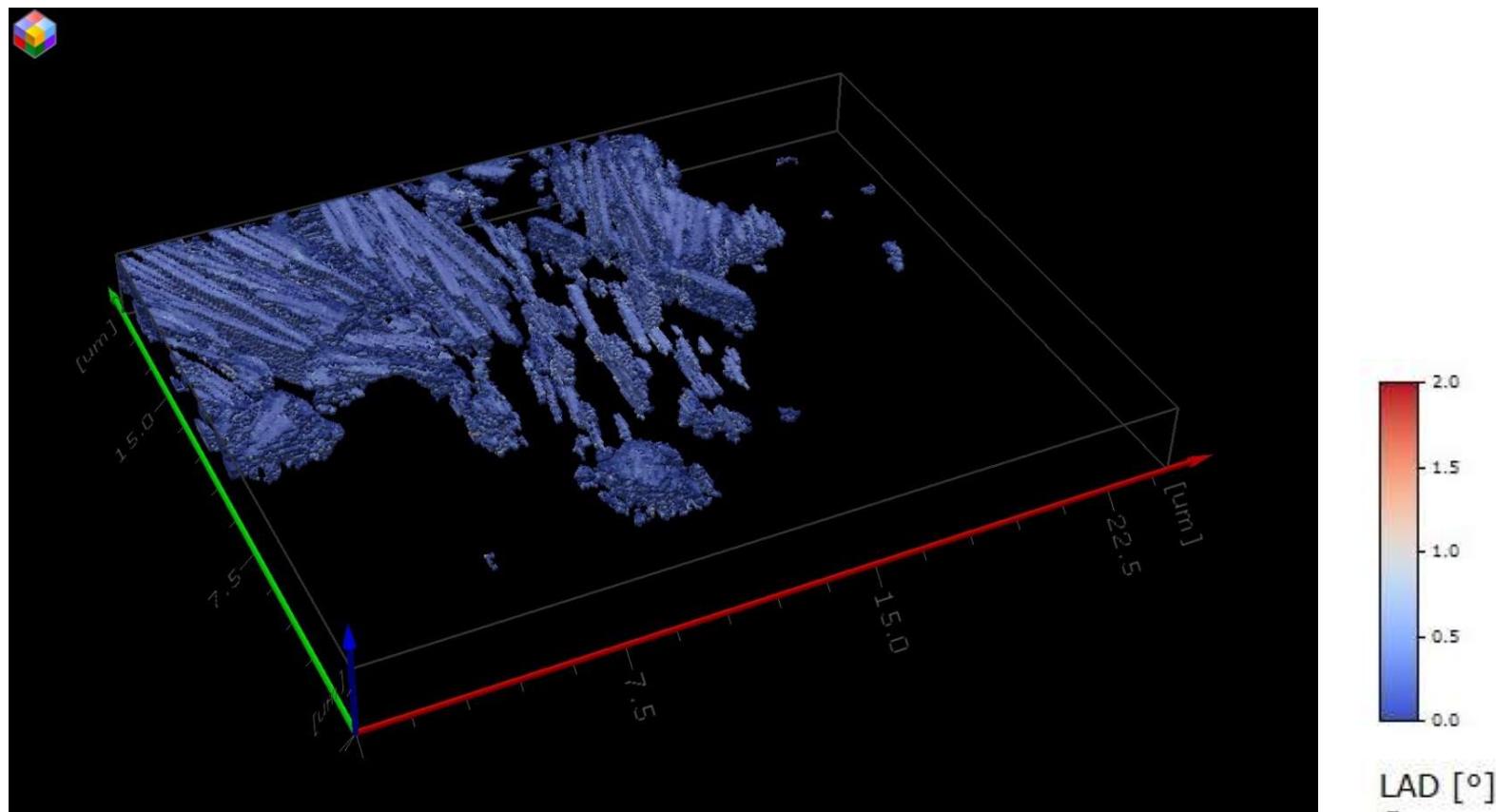
- Data cube after realignment and cropping (on two sides only)

# ESPRIT QUBE

## Data postprocessing – LAD



Local Average Disorientation (LAD) inside the intermetallic phase



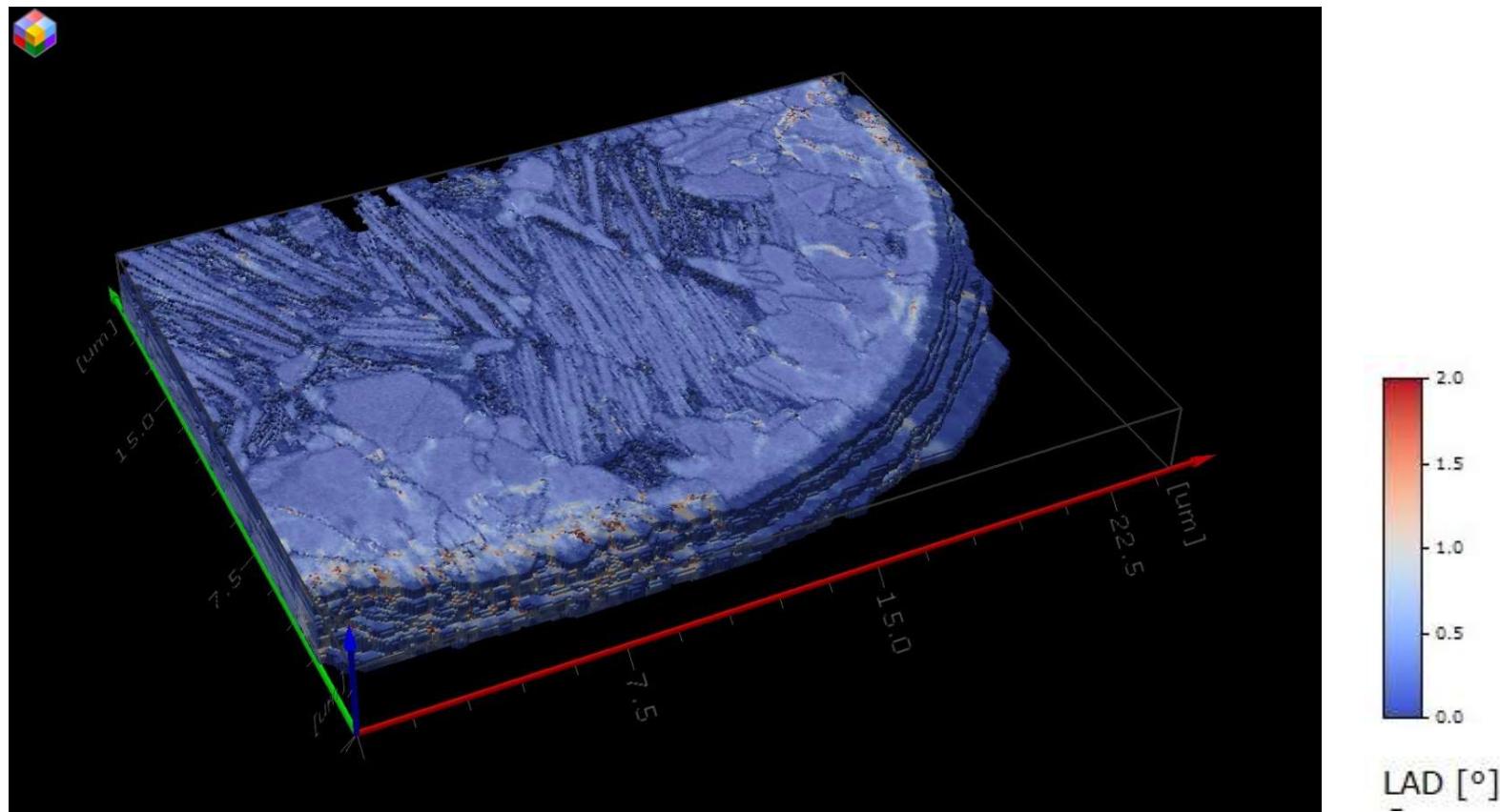
- Very low LAD values inside the hard intermetallic phase

# ESPRIT QUBE

## Data postprocessing – LAD



Local Average Disorientation (LAD) inside the CuZn alloy



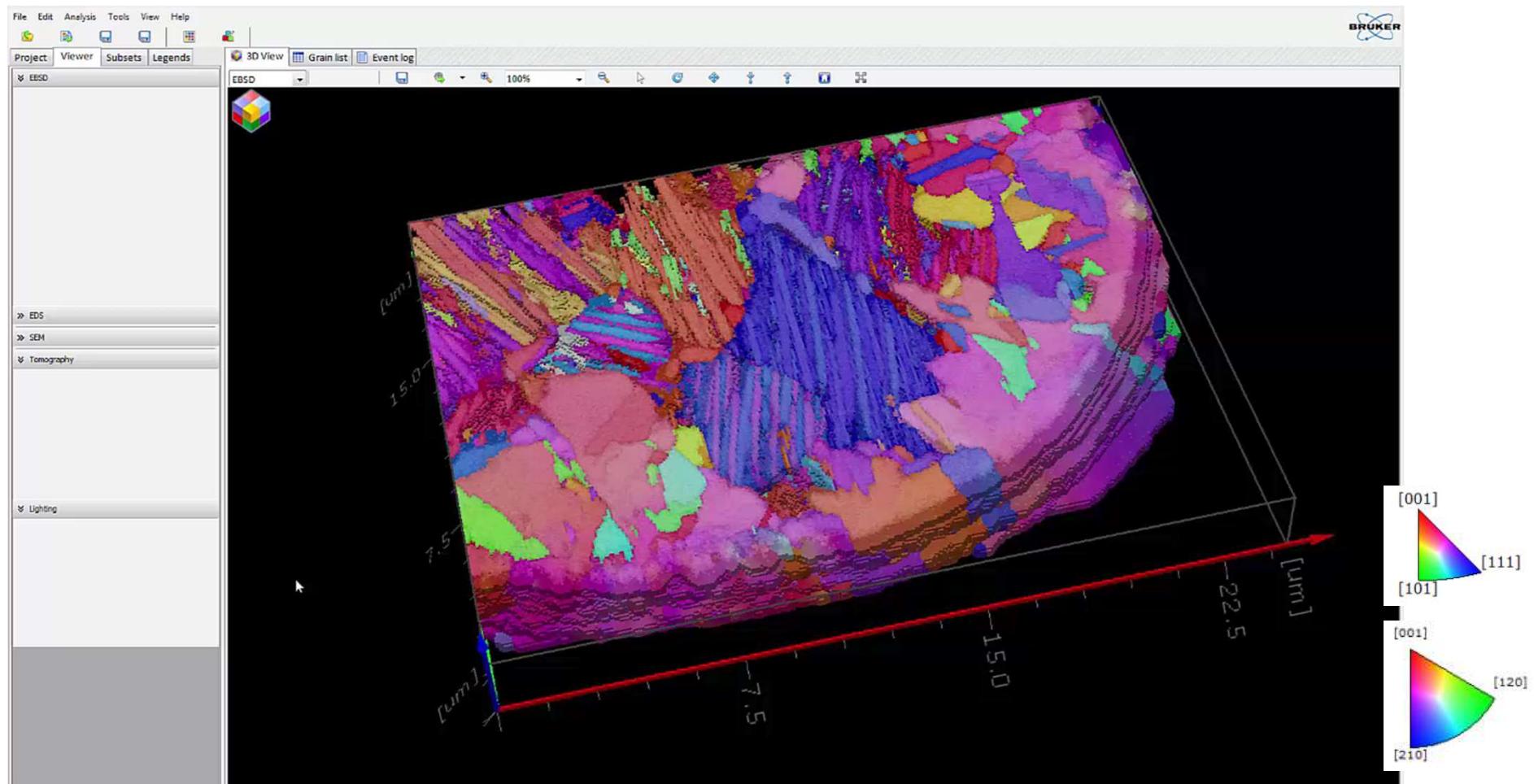
- Significantly higher low LAD values inside the soft CuZn alloy

# ESPRIT QUBE

## Data postprocessing – Subsetting & visualization



Advanced subsetting and interactive 3D data visualization

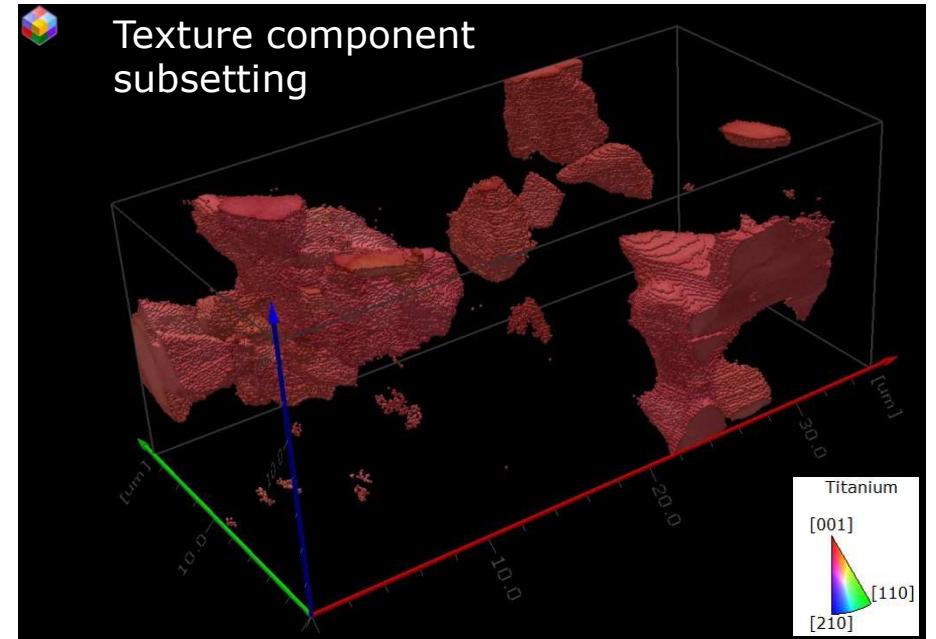
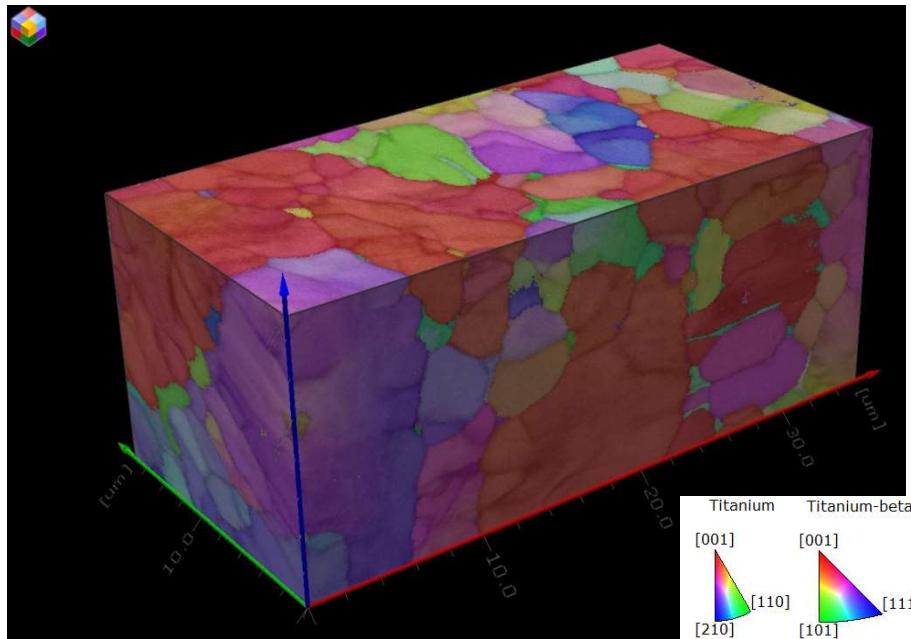


# ESPRIT QUBE

## Data postprocessing – Subsetting & visualization



- Deformed Ti alloy (alpha and beta phases)
- Large data cube – 245 slices ( $35 \times 20 \times 20 \mu\text{m}^3$ )
- Serial sectioning using a PFIB-SEM with the standard “rotation” method
- Slice preparation time:  $\sim 2\text{min/slice}$
- 3D EBSD data acquisition time:  $\sim 7\text{min/slice}$

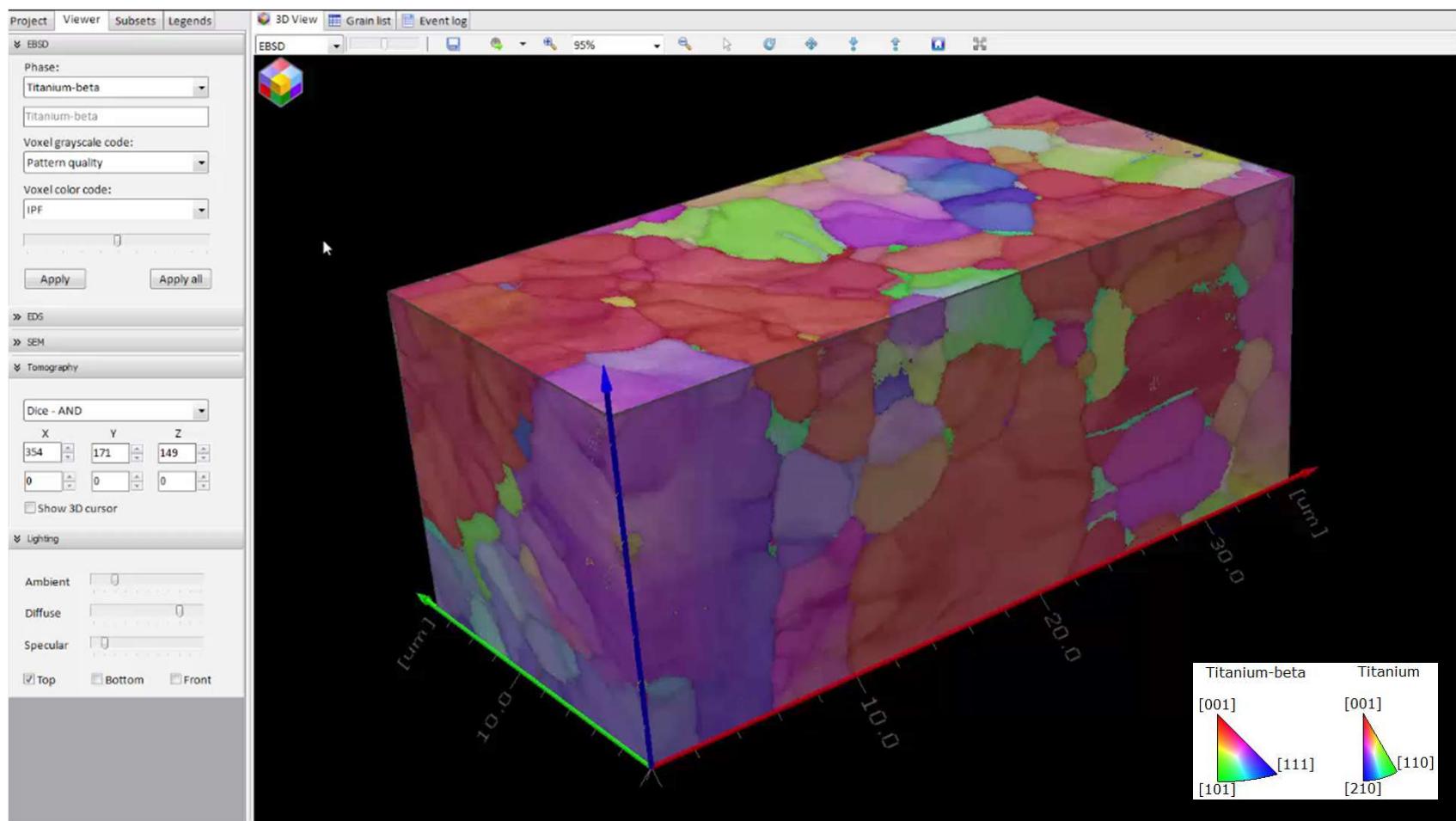


# ESPRIT QUBE

## Data postprocessing – Subsetting & visualization



3D EBSD on deformed Ti alloy – phase subsetting

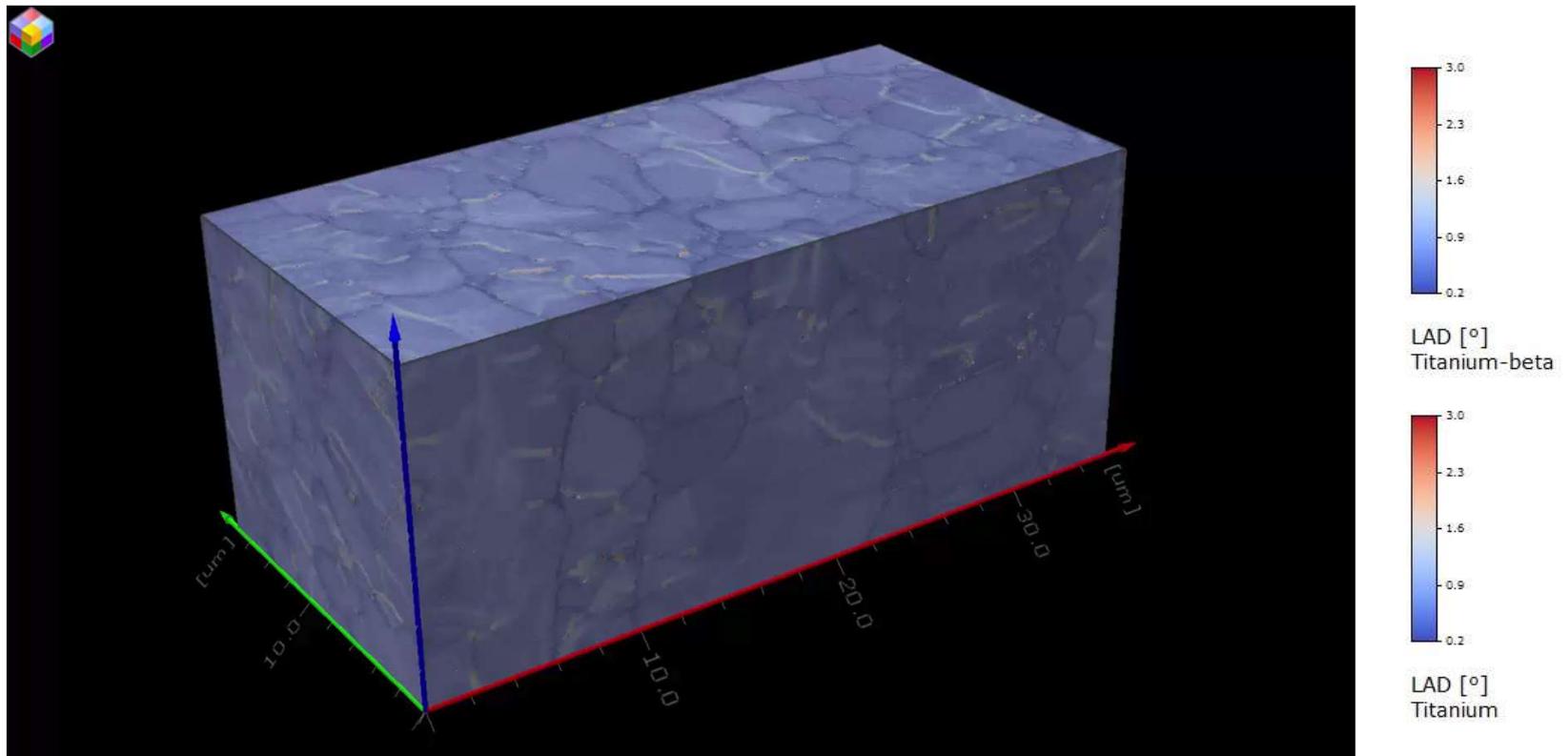


# ESPRIT QUBE

## Data postprocessing – LAD visualization



3D EBSD on deformed Ti alloy – LAD visualization



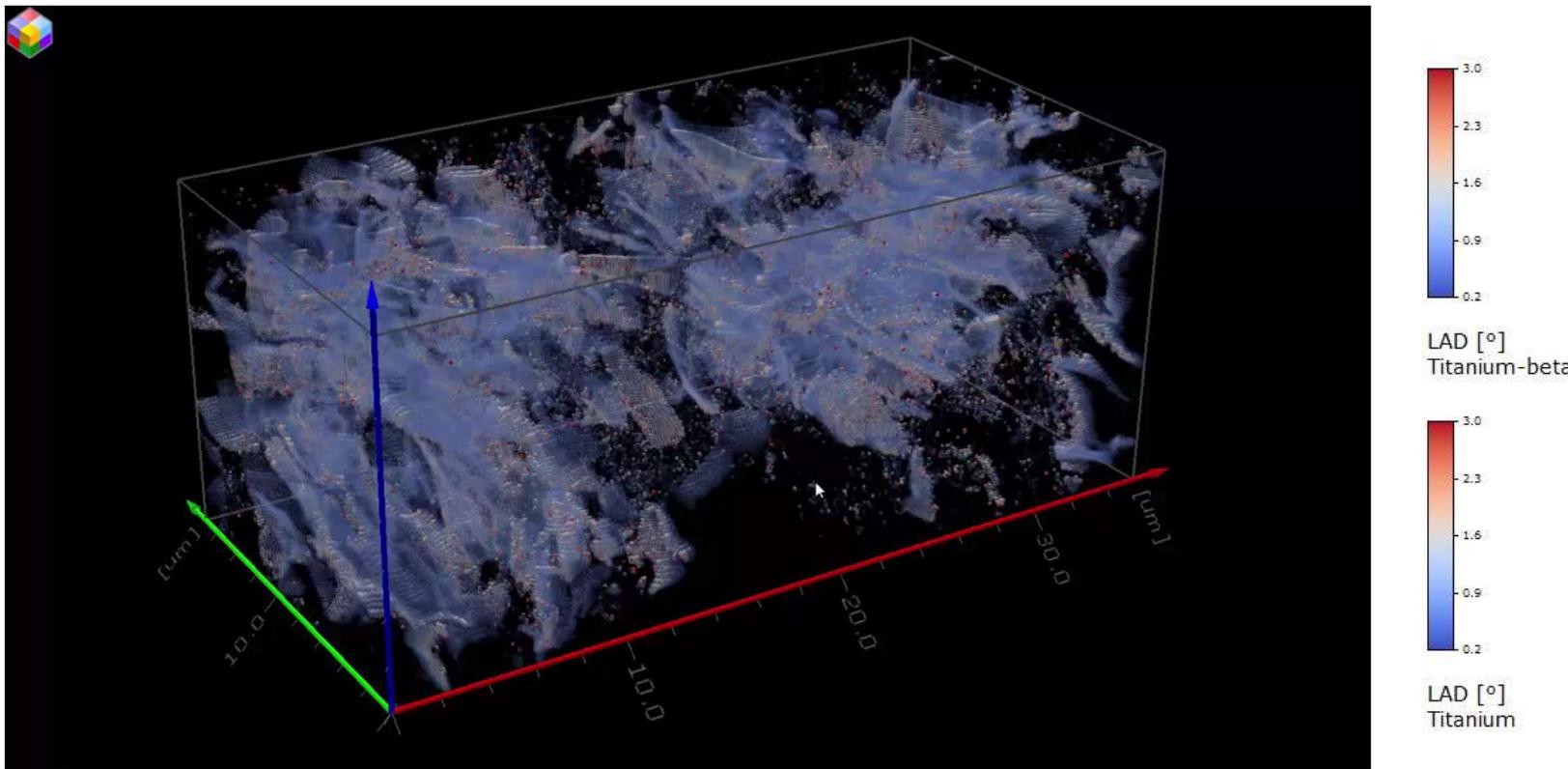
- LAD after slice realignment & cropping + data filtering
- Slice & dice visualization

# ESPRIT QUBE

## Data postprocessing – LAD visualization



3D EBSD on deformed Ti alloy – LAD visualization



- LAD after slice realignment & cropping + data filtering
- Advanced visualization: Transparency increases with decreasing LAD values

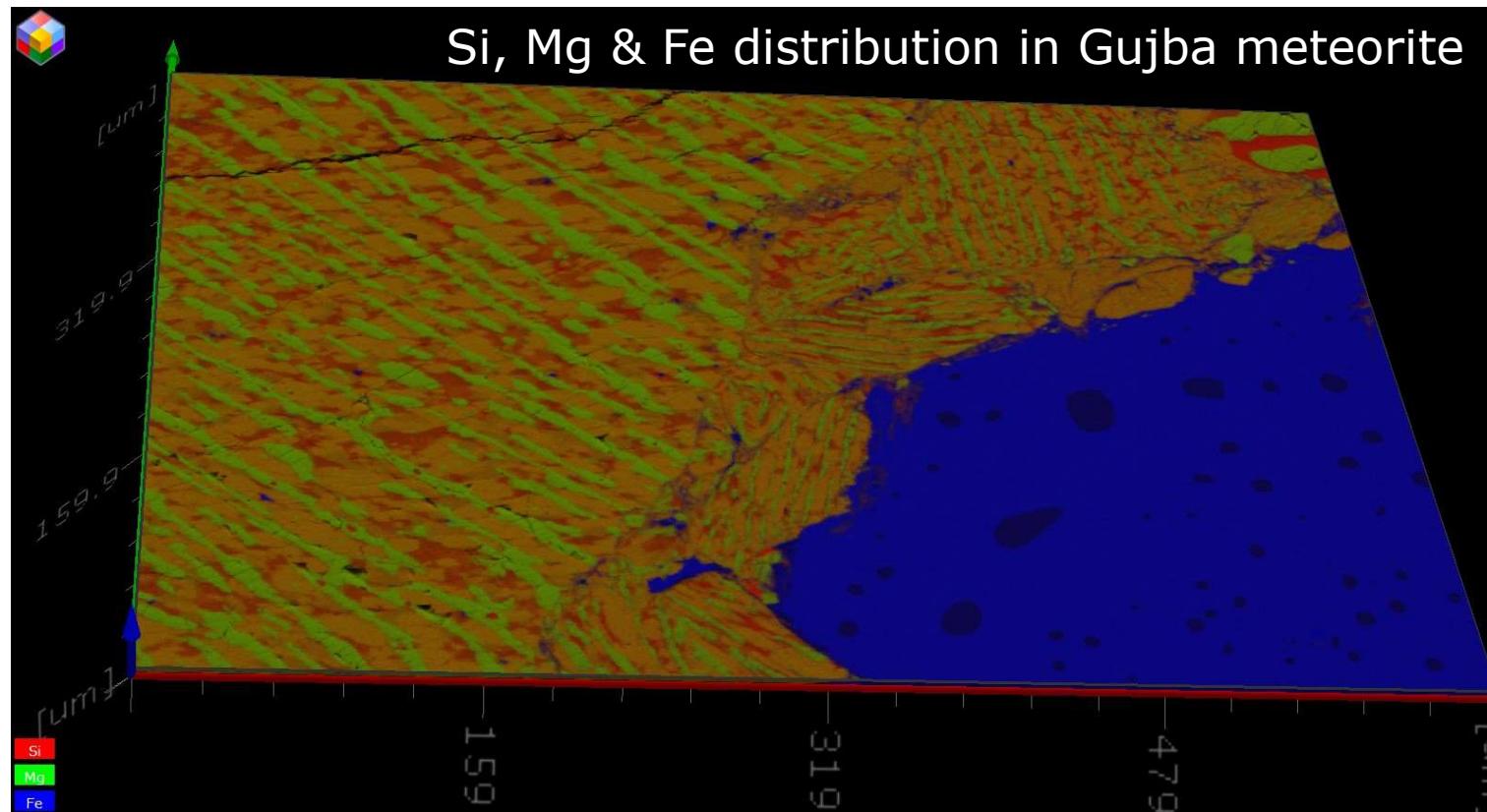
# ESPRIT QUBE

## 3D EDS – visualization



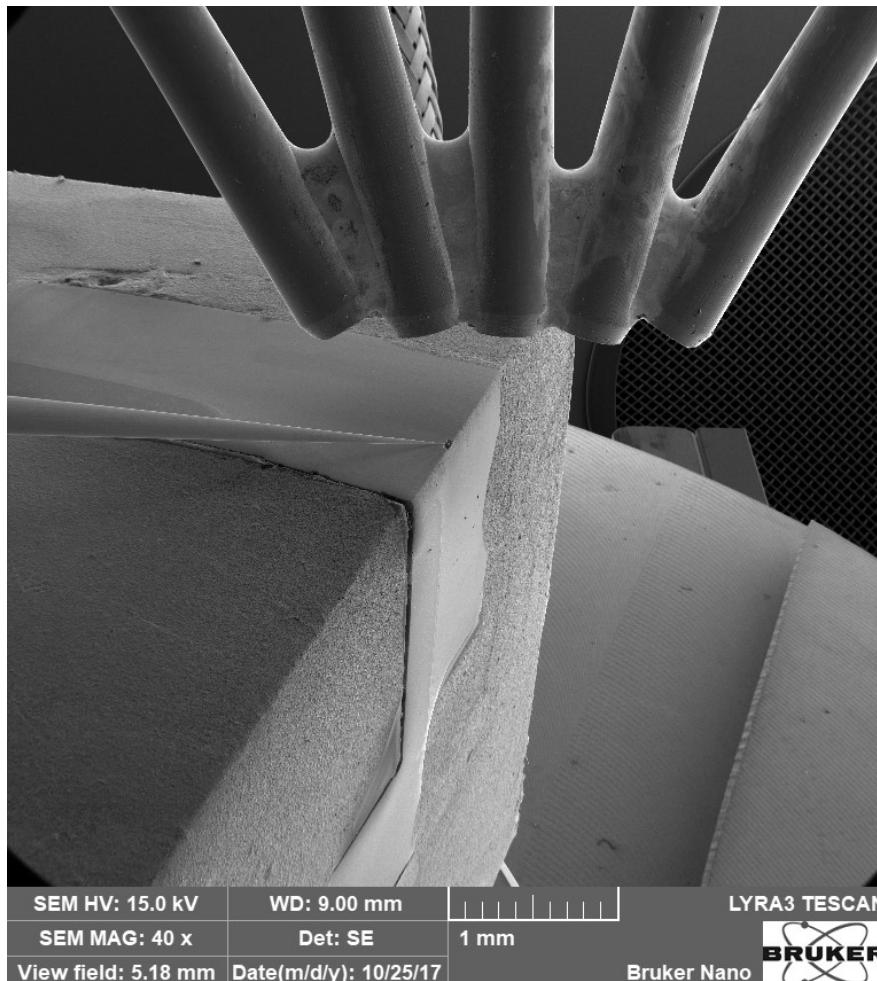
Extensive data subsetting with visual, interactive data exploration:

- EDS based subsetting (counts & quantified data)



# ESPRIT QUBE

## 3D EBSD data processing: application example on stainless steel



**stainless steel**

Phases:  
Ferrite, Austenite, Sigma

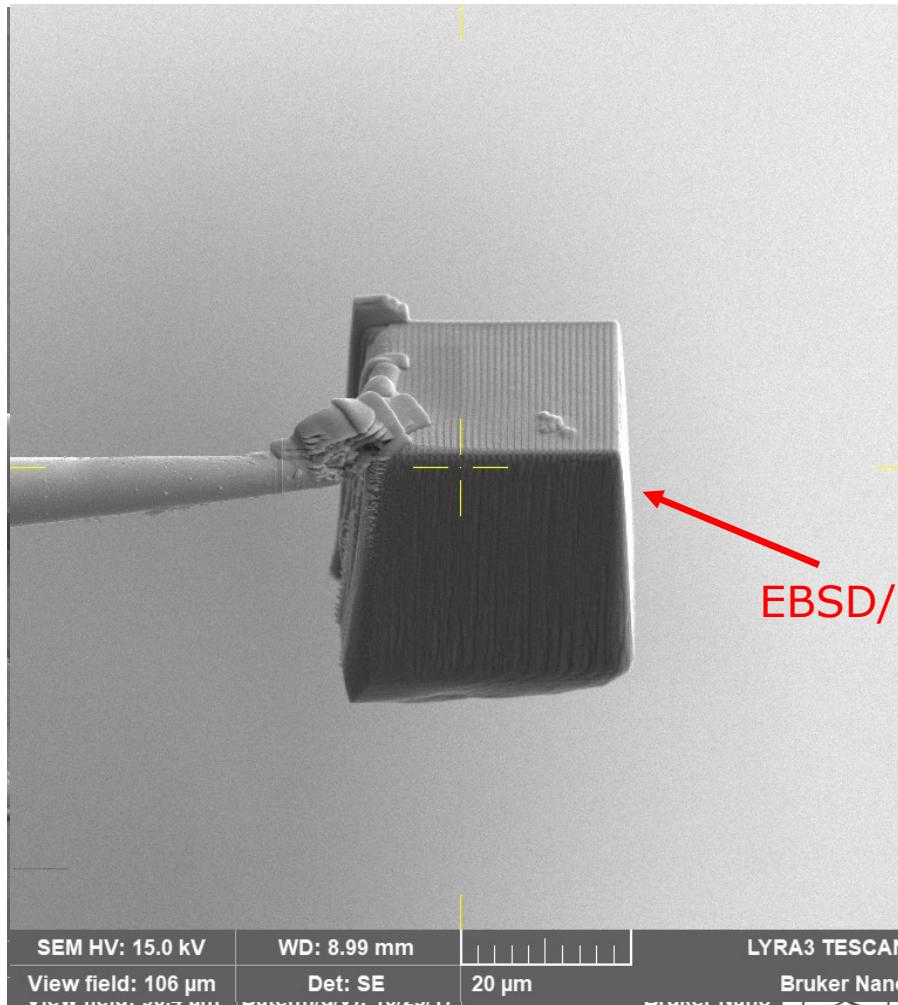
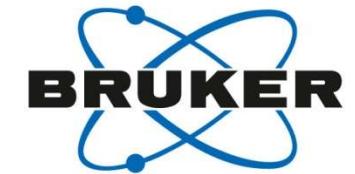
Parameters:

FIB (Ga+)  
30 kV, 1.5nA

EBSD  
e-Flash<sup>FS</sup>  
EBSP quality: 160\*120 px  
Exposure time 3ms

# ESPRIT QUBE

## 3D EBSD data processing: application example on stainless steel



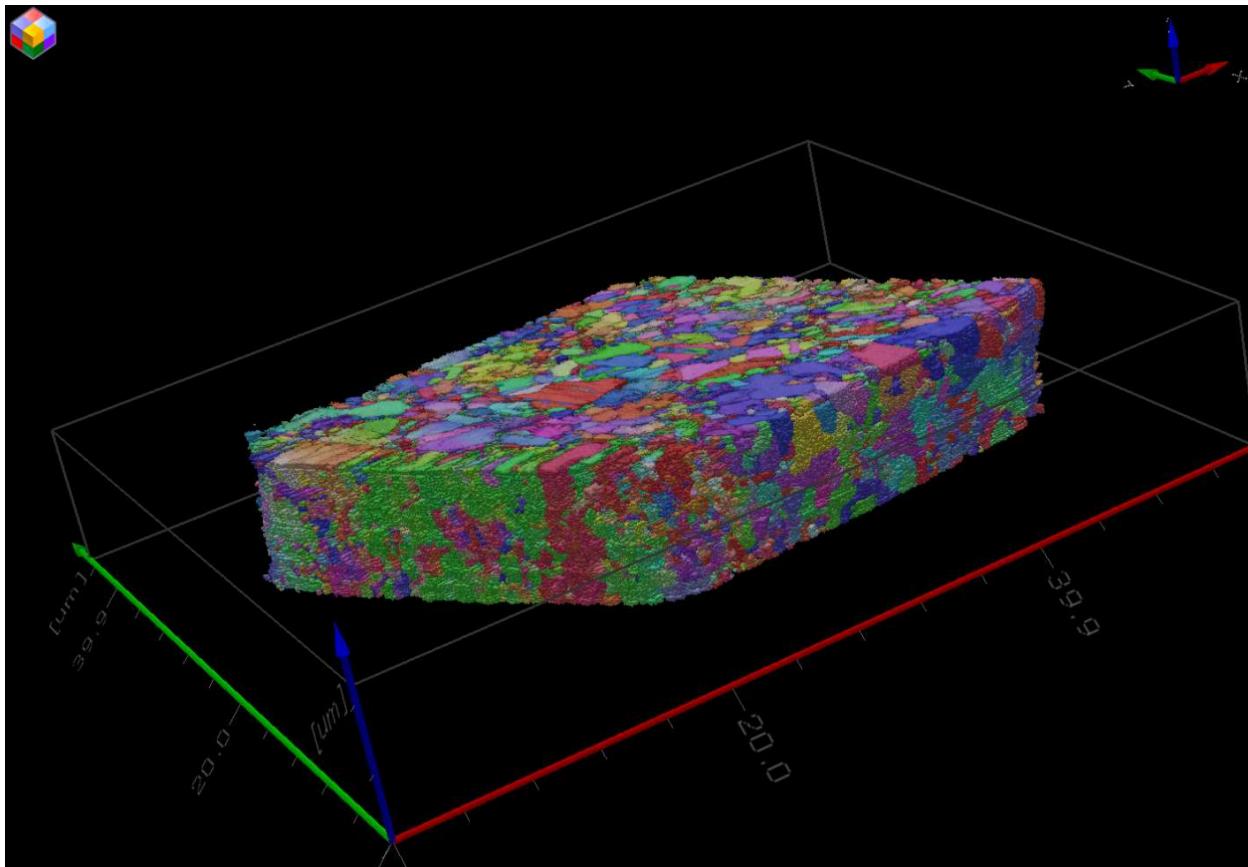
## FIB setup

1. Trenches
2. undercut
3. Cube lift out

EBSD/EDS measurement

# ESPRIT QUBE

## 3D EBSD processing: data realignment

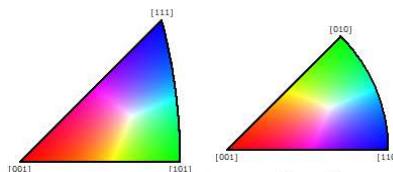


stainless steel  
90 slices

FIB ( $\text{Ga}^+$ )  
100 nm slice  
1.5nA, 30kV  
7 min

EBSD:  
e-Flash<sup>FS</sup>  
160\*120px resolution  
100nm step size  
 $\sim 27 \times 30 \mu\text{m}$   
320 fps (3 min)

$\sim 18 \text{ M pixels}$

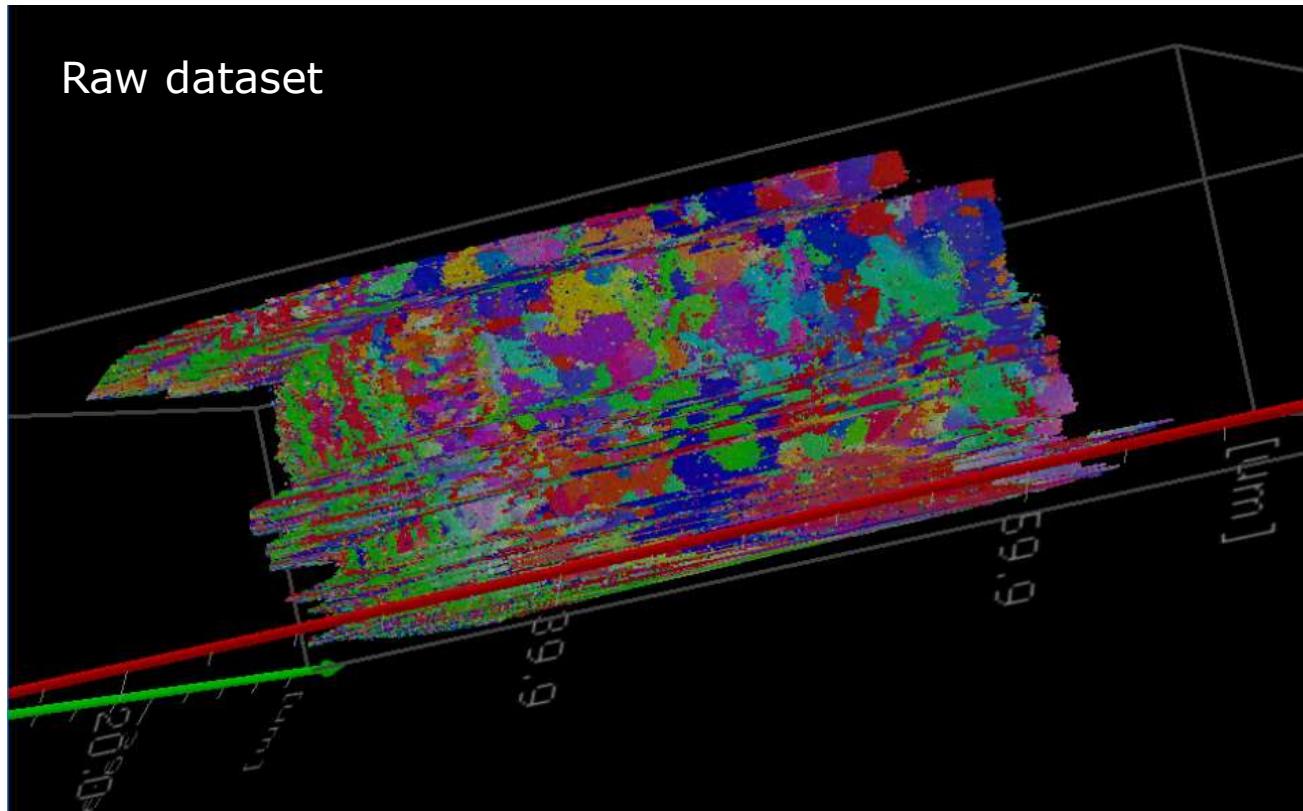


# ESPRIT QUBE

## 3D EBSD processing: data realignment



- Dataset editing: rigid realignment

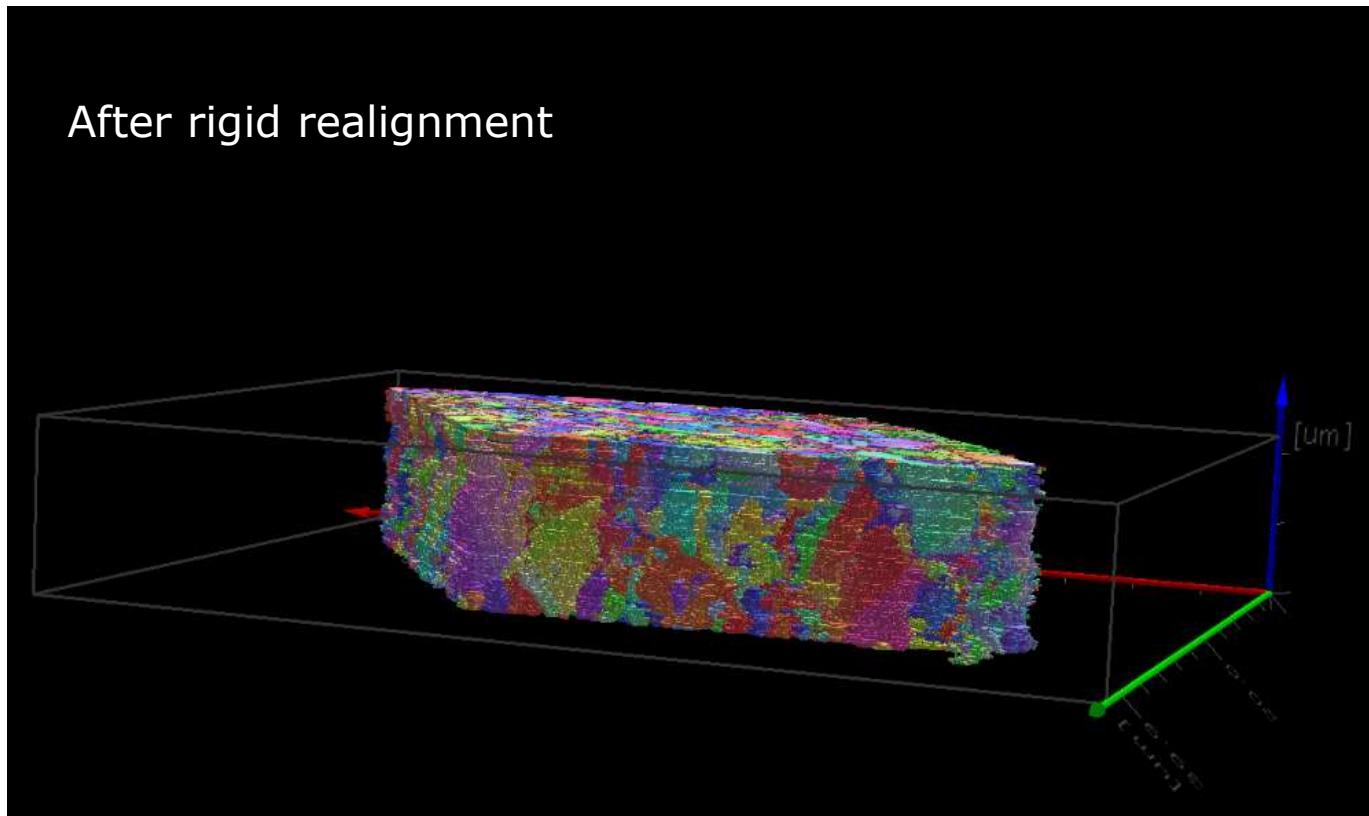


# ESPRIT QUBE

## 3D EBSD processing: data realignment

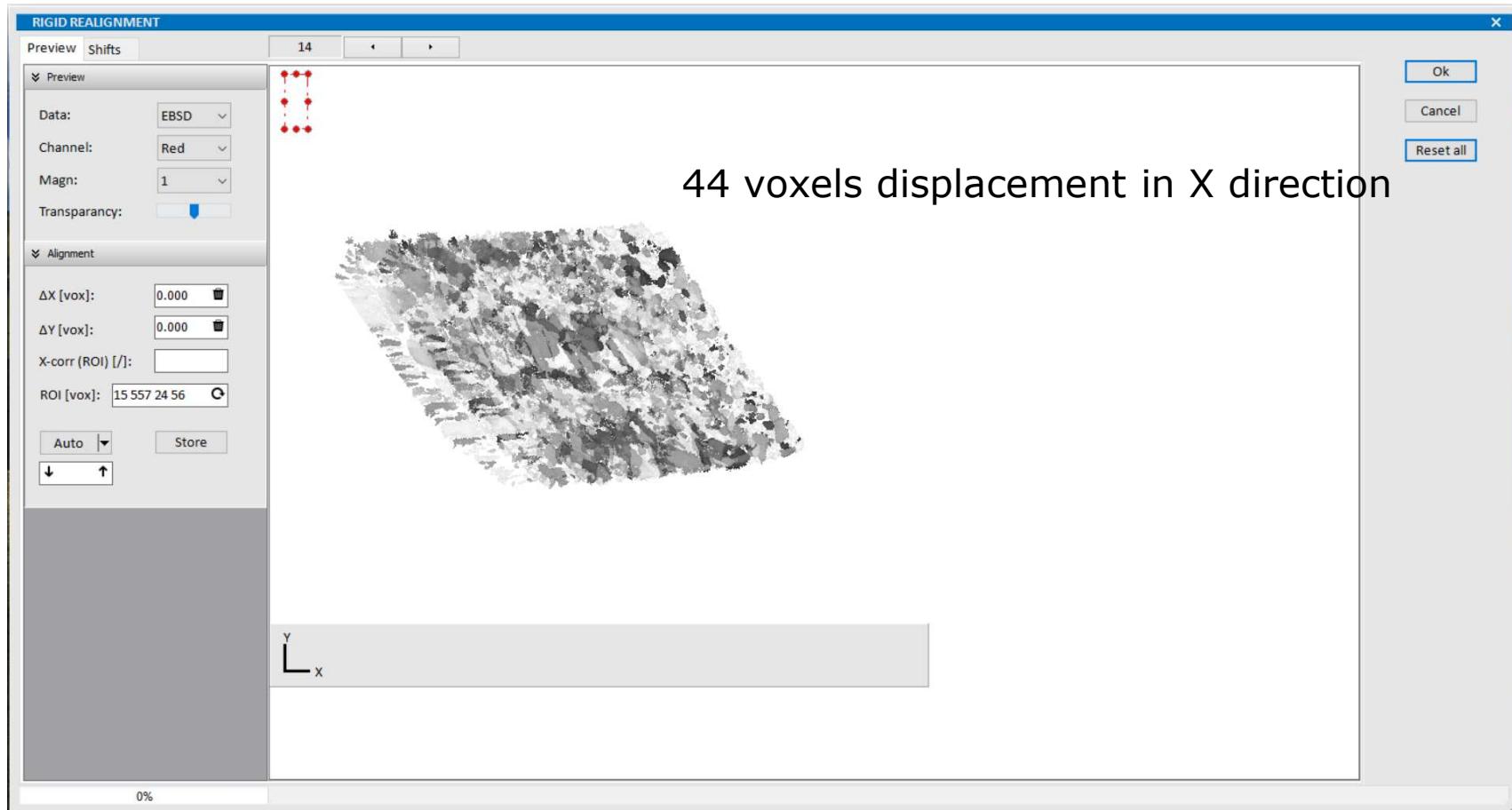


- Dataset editing: rigid realignment



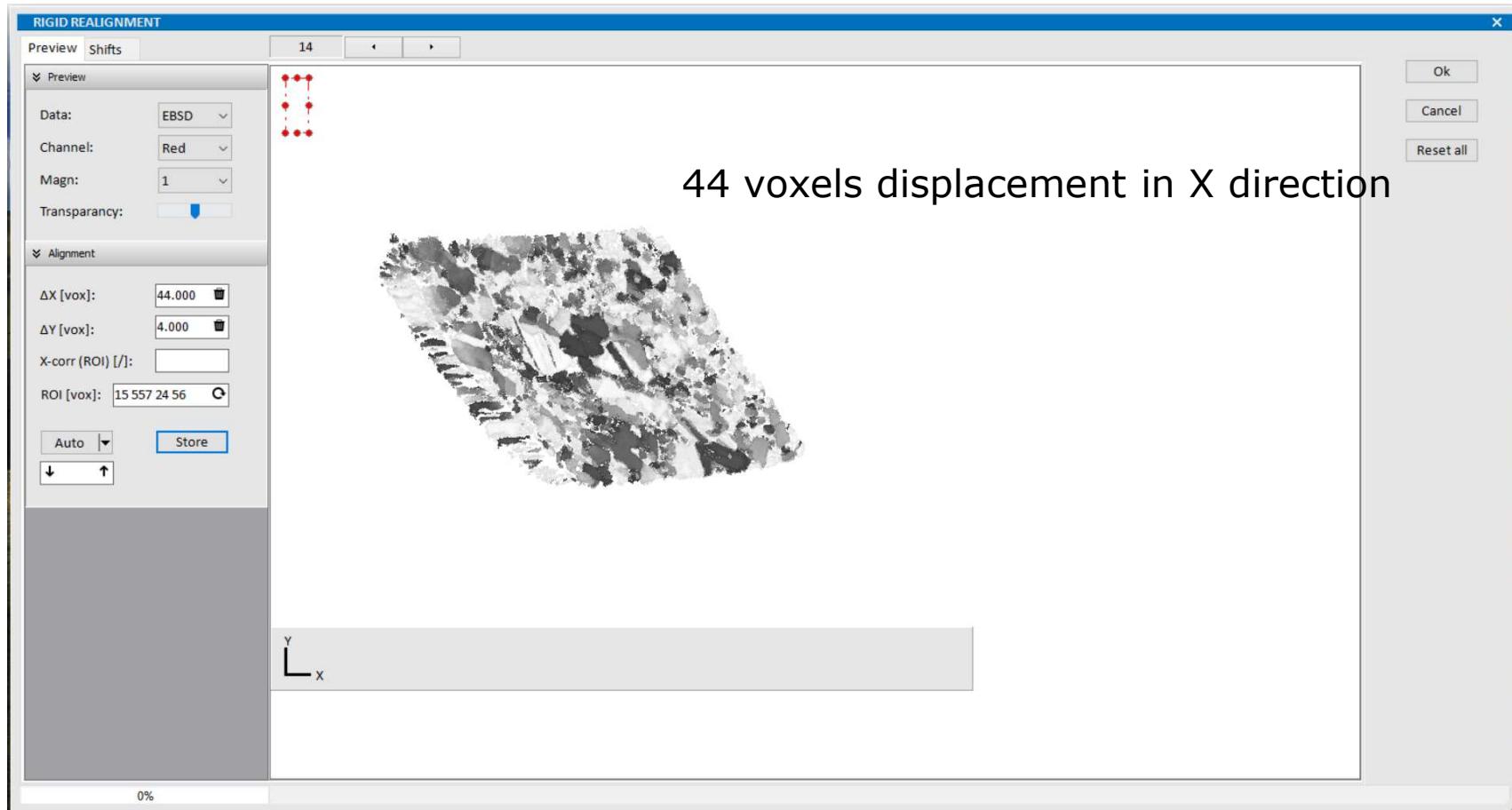
# ESPRIT QUBE

## 3D EBSD processing: data realignment



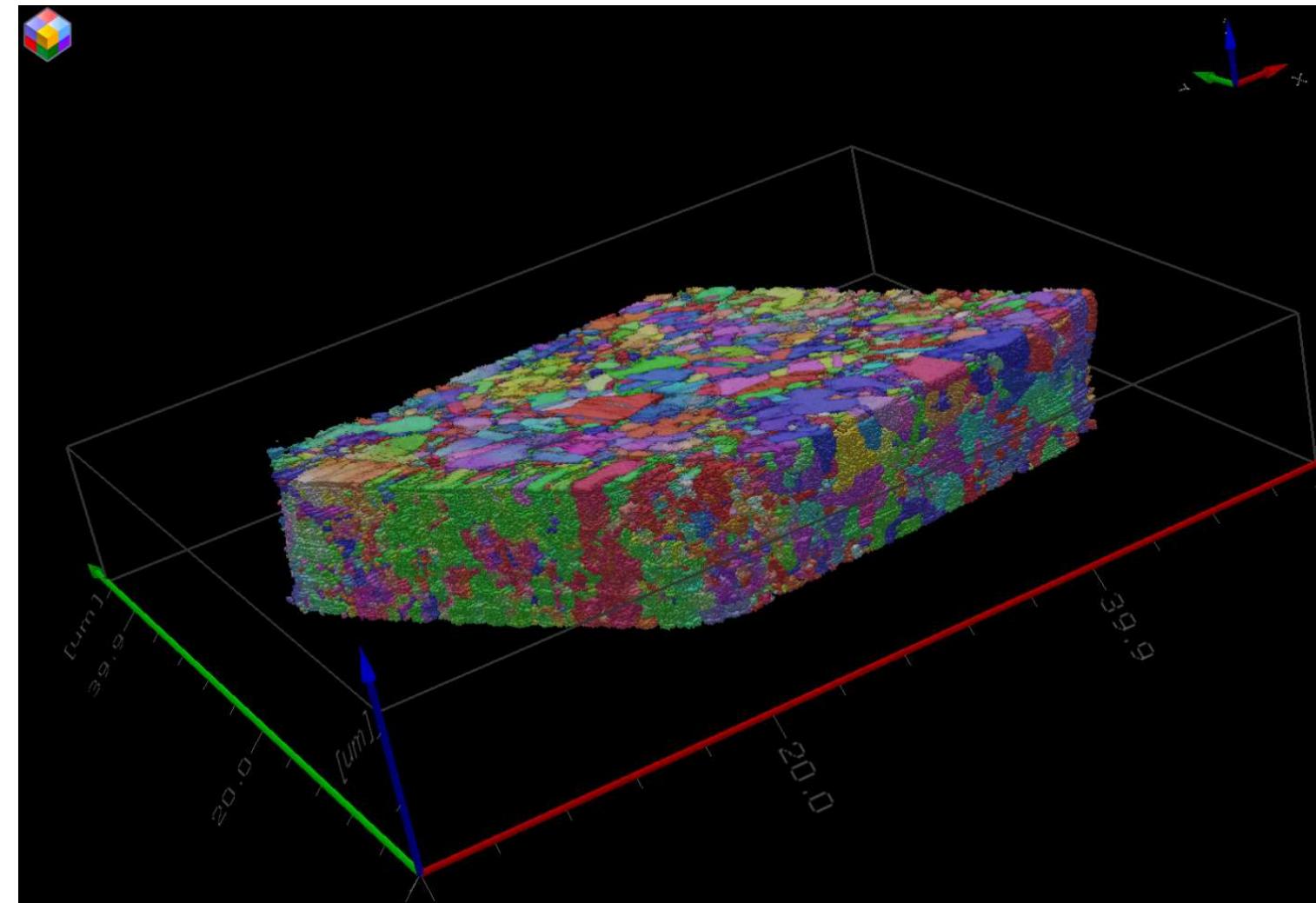
# ESPRIT QUBE

## 3D EBSD processing: data realignment



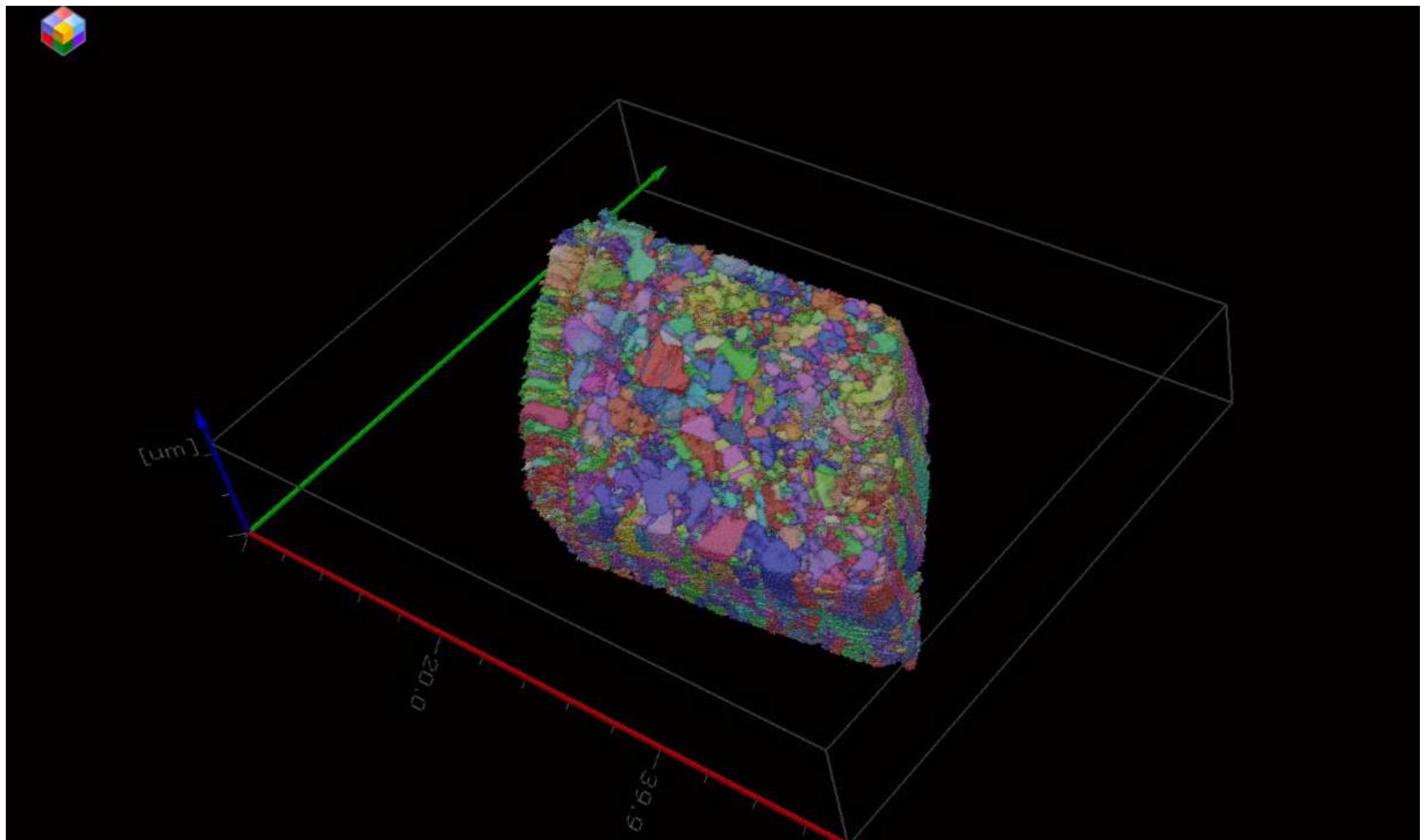
# ESPRIT QUBE

## 3D EBSD processing: data realignment



# ESPRIT QUBE

## 3D EBSD processing: data filtering



# ESPRIT QUBE

## 3D EBSD processing: grain list



UNTITLED - ESPRIT QUBE 2.0.10. - BUILD 3

File Edit Analysis Tools View Help

Project Viewer Subsets Legends

EBSD

Phase: Iron-alpha

Voxel grayscale code: Indexed bands

Voxel color code: IPF

Apply Apply all

EDS

SEM

Tomography

Dice - AND

ID	Phase	Ori. mean [Re Im]	Ori. variance	Volume [vox]	Surface [um <sup>2</sup> ]	CMS [um]	Nel...	Bor...	Ellipsoid [um]	Ellipsoid vol...	Ellipsoid surface [um <sup>2</sup> ]
8058	1	0.93 0.25 -0.28 -0...	0.0129	228176	2442.52	23.81 27.67 1.99	1119	1	7.67 4.93 2.32	367.82	301.54
9446	0	0.91 0.12 0.35 -0.18	0.0107	115891	686.88	44.84 15.77 3.92	312	1	5.60 4.01 2.21	208.09	191.52
12565	0	0.89 0.25 0.32 0.21	0.0207	107610	1041.96	27.00 16.75 3.79	416	1	8.99 5.08 3.36	643.02	406.25
11717	0	0.93 0.26 0.26 0.07	0.0080	103783	604.92	35.43 32.92 3.63	181	1	4.72 3.66 1.89	136.73	145.42
11802	0	0.89 0.22 0.36 -0.17	0.0116	77366	590.70	22.59 22.39 4.19	348	1	5.45 4.76 2.35	254.53	218.35
12557	0	0.92 0.22 0.13 -0.31	0.0028	76997	621.80	43.76 14.38 5.06	400	1	6.75 5.05 2.33	332.61	274.64
11771	0	0.86 0.08 0.35 -0.35	0.0194	75876	671.48	37.45 18.54 4.29	403	1	5.36 3.86 2.55	221.01	190.27
12703	0	0.94 0.17 0.28 -0.06	0.0164	71585	613.07	39.96 24.66 5.50	297	1	7.11 3.22 2.36	225.78	207.38
12514	0	0.90 0.33 0.27 0.14	0.0238	70690	595.33	13.05 30.57 4.63	200	1	4.48 3.87 1.96	142.32	147.36
9886	0	0.95 0.08 0.30 0.09	0.0268	70233	478.35	9.41 29.91 3.28	215	1	4.38 3.20 1.88	110.35	122.96
7191	1	0.90 -0.17 -0.08 -0...	0.0094	65031	248.14	17.66 20.01 2.60	167	1	4.23 3.14 1.31	73.19	103.96
6046	0	0.94 0.31 0.15 -0.04	0.0183	62944	866.86	19.65 28.48 2.50	292	1	8.56 3.55 2.45	311.61	266.66
8872	1	0.91 -0.23 -0.19 -0...	0.0144	61339	283.48	22.69 30.87 4.06	69	0	4.69 2.72 1.58	84.72	108.22
8373	1	0.97 0.14 -0.02 -0...	0.0133	58881	250.10	21.84 18.79 3.49	103	0	3.46 3.00 1.55	67.24	88.79
9748	0	0.87 0.24 -0.42 -0...	0.0165	56131	466.86	33.65 26.20 3.97	174	1	4.82 3.64 1.67	123.13	141.57
12707	1	0.98 0.20 0.01 -0.03	0.0074	54422	253.01	23.71 22.47 7.40	121	1	4.31 2.12 1.77	67.66	88.83
7743	0	0.90 0.20 0.29 -0.24	0.0096	53077	395.89	39.73 26.58 2.57	128	1	4.24 3.45 2.11	129.19	132.75
9995	0	0.81 0.29 -0.45 -0...	0.0088	51816	590.09	13.33 26.08 3.26	253	1	6.69 3.57 2.04	203.89	199.25
12796	0	0.91 -0.40 0.06 -0...	0.0064	51491	723.77	32.36 32.12 5.10	263	1	5.68 2.85 1.56	105.92	132.47
12291	0	0.95 0.29 0.03 0.10	0.1790	51107	562.35	20.93 14.16 3.69	156	1	5.38 3.00 1.47	99.66	128.71
7844	0	0.89 0.11 0.28 -0.35	0.0028	46805	291.08	28.06 16.17 4.16	154	0	5.75 2.62 1.43	90.52	122.39
5883	1	0.95 -0.13 -0.04 -0...	0.0109	45960	186.17	28.11 24.35 2.73	94	1	3.43 2.70 1.39	53.80	77.99
12799	0	0.91 0.18 -0.22 -0...	0.0213	44797	508.10	24.33 32.05 5.47	291	1	4.12 3.16 2.45	133.66	130.98
10532	0	0.95 0.04 -0.13 -0...	0.0021	44308	326.03	38.43 23.32 3.13	177	1	5.52 3.53 1.21	98.70	143.21
7491	1	0.93 -0.16 0.00 -0...	0.0149	43348	208.74	15.47 24.63 3.71	78	0	2.98 2.37 1.81	53.33	70.86
10546	0	0.91 0.34 0.24 -0.07	0.0138	43040	450.76	21.73 31.23 4.93	205	1	6.01 3.23 2.80	227.26	194.45
12708	0	0.94 0.12 0.23 -0.24	0.0159	42585	345.00	34.21 24.49 7.20	224	1	5.64 3.25 1.92	147.80	156.38
12621	0	0.93 0.05 0.36 -0.05	0.0258	42215	537.55	16.45 17.69 6.80	214	1	6.24 3.03 1.68	132.77	154.86
12329	0	0.89 0.08 0.23 -0.39	0.0044	41789	330.07	33.09 12.38 7.54	226	1	3.78 2.39 2.15	81.62	95.03
12728	0	0.93 -0.31 -0.20 0.06	0.0769	40122	465.74	11.32 26.26 7.13	189	1	4.02 2.51 2.29	96.86	106.57
9207	0	0.93 -0.12 -0.34 0...	0.0119	38842	626.14	25.74 30.09 1.96	428	1	6.52 3.91 2.29	244.63	217.58
9121	0	0.90 0.15 -0.36 0.20	0.0088	38614	318.65	38.27 12.80 3.42	171	0	3.88 2.62 2.06	87.70	100.52
10297	1	0.99 0.09 -0.03 0.09	0.0081	36690	285.66	15.62 33.23 3.89	98	1	3.07 2.82 1.58	57.14	77.36
12793	1	0.90 -0.27 -0.02 -0...	0.0037	36277	335.95	13.19 31.05 7.17	78	1	5.31 2.48 1.45	80.08	109.62
7907	1	0.95 0.31 0.04 0.07	0.0143	35898	488.54	33.17 21.95 2.74	179	0	3.37 2.70 1.78	67.93	85.27
12362	0	0.88 0.28 0.37 -0.08	0.0141	35613	365.62	31.60 16.32 5.31	207	1	4.28 2.73 1.73	84.63	103.64
9917	0	0.91 -0.02 0.29 -0...	0.0123	34201	333.62	26.66 14.91 2.93	111	1	4.38 2.91 2.40	128.67	129.18
12374	0	0.90 0.38 0.01 0.20	0.0212	30267	332.78	22.27 20.40 4.67	180	1	6.46 4.03 1.02	111.73	181.40
10227	0	0.96 -0.28 0.04 0.04	0.0115	28454	295.47	33.21 29.05 2.75	131	1	5.06 4.12 1.84	160.68	168.06
5093	1	0.94 -0.14 0.11 -0...	0.0038	27248	171.54	38.39 26.59 1.80	41	1	2.75 2.06 1.63	38.68	57.32
9079	0	0.93 0.23 0.24 -0.13	0.0073	27237	182.24	38.74 27.84 4.99	58	0	3.23 2.33 1.56	49.34	69.80
5800	1	0.84 -0.23 0.37 -0...	0.0051	27169	290.64	12.83 32.24 1.97	79	1	3.35 2.26 1.33	42.41	65.86
10550	0	0.97 -0.24 -0.09 0...	0.0143	26787	231.05	18.08 28.17 5.78	128	1	3.50 2.38 1.55	54.08	75.45
11454	0	0.91 0.06 0.29 -0.28	0.0170	25088	211.19	28.39 22.63 6.76	143	0	3.25 1.93 1.73	45.38	64.95

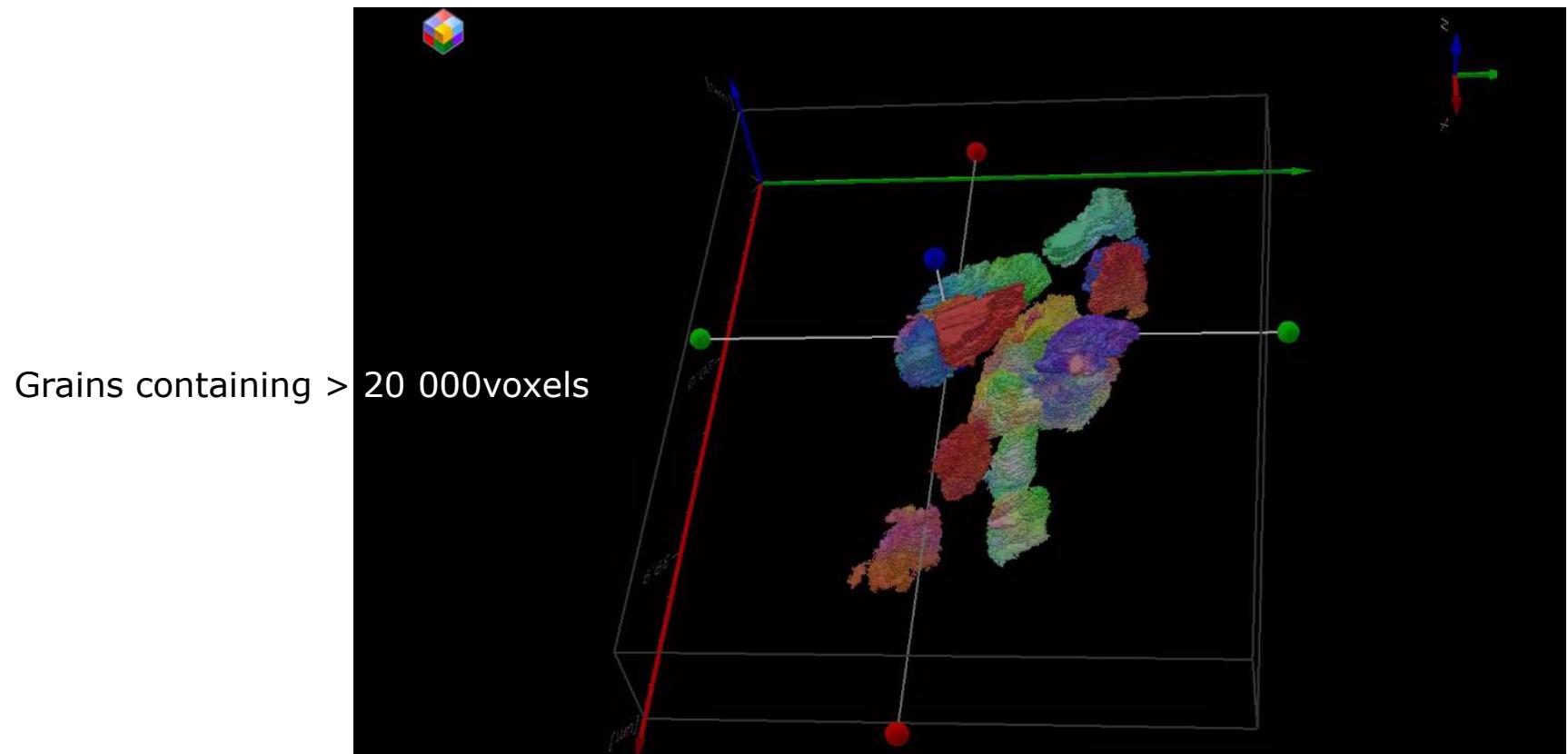
0%

# ESPRIT QUBE

## 3D EBSD processing

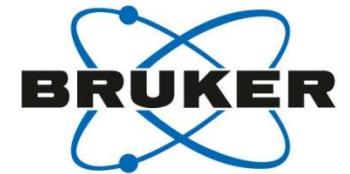


- Extensive data subsetting with visual, interactive data exploration:
  - Subsetting based grain metrics (volume, area, shape, neighbours, etc.)



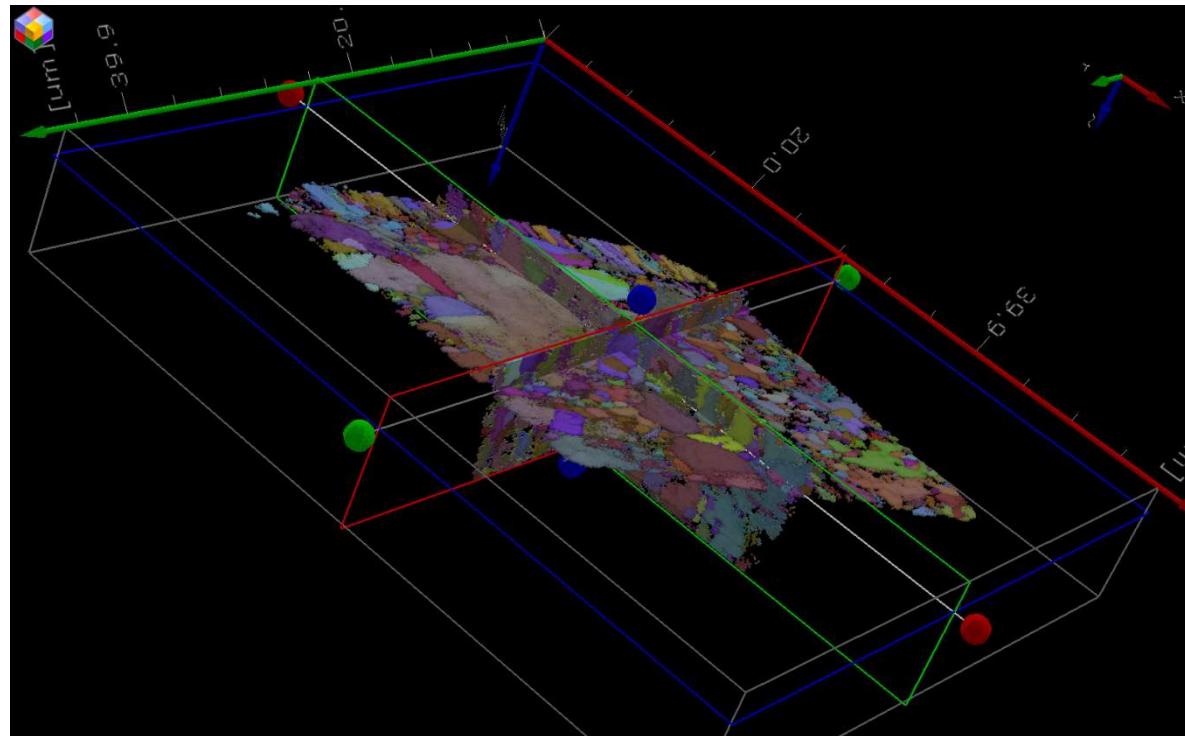
# ESPRIT QUBE

## 3D EBSD processing



### Main features:

- Visualization, slicing & dicing of EBSD data cubes

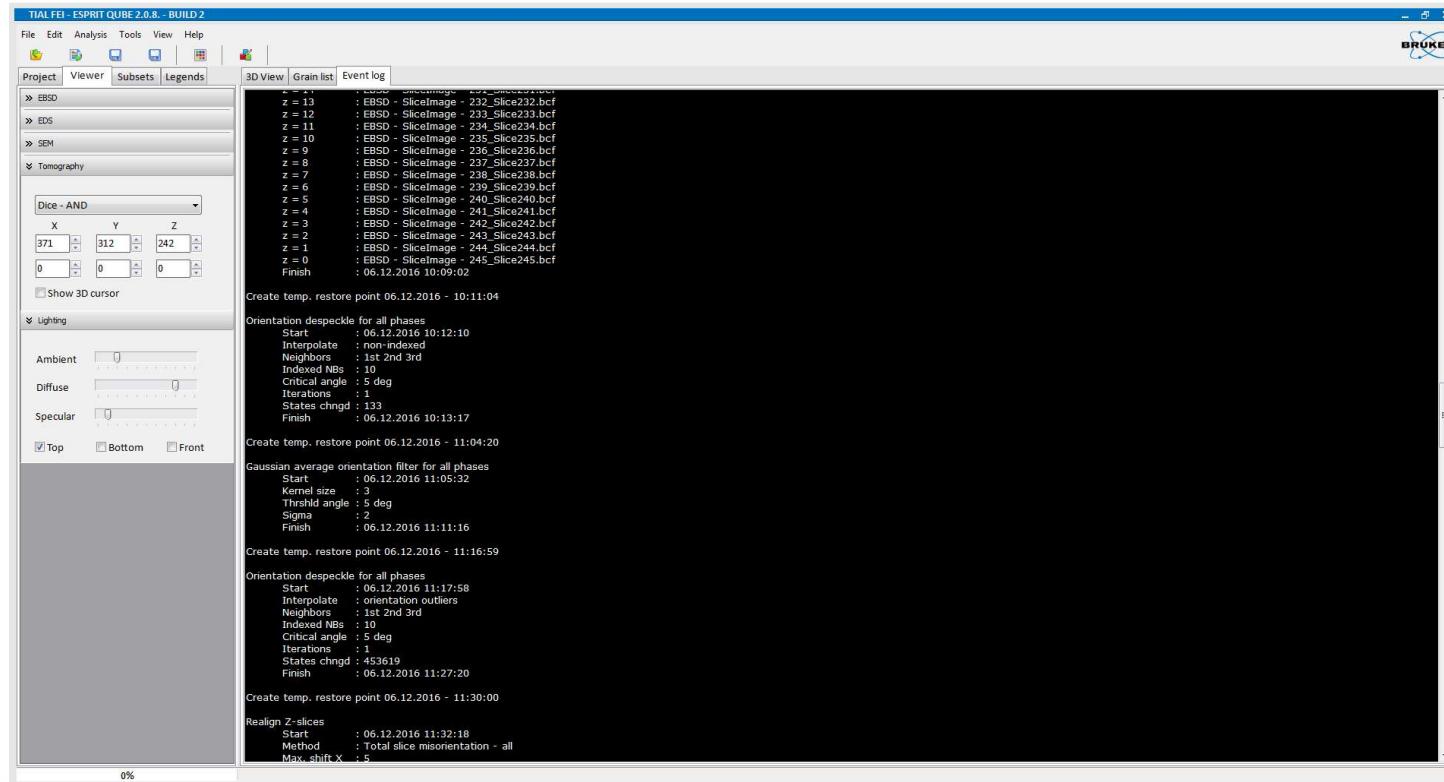


# ESPRIT QUBE

## 3D EBSD processing



- “Event log” record all processing steps applied to the data cube : allowing to go back to any previous step in the data processing!



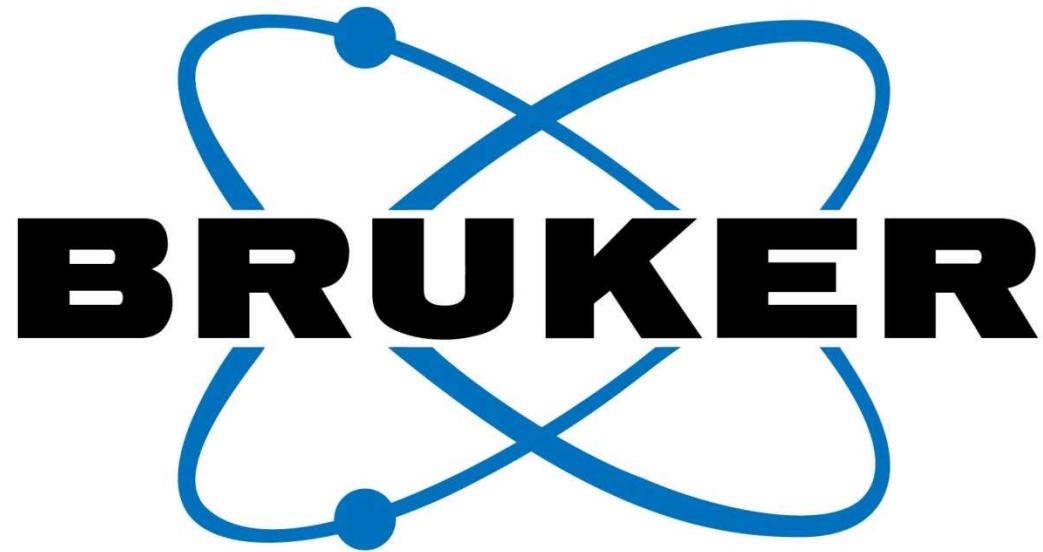
# ESPRIT QUBE

## Advanced 3D data postprocessing & visualization



### Summary

- Unit **Quaternion** based core
- Multiple slice realignment options
- Advanced data postprocessing capabilities (for crystal plasticity studies)
- Multitude of EBSD data subsetting options
- 3D EDS subsetting and visualization
- 3D EBSD/EDS data cube simulation
- Supports multiple file formats (import & export)



Innovation with Integrity