Compositional analysis of archaeological ceramics by Handheld XRF



Examples of pottery sherds showing the complexity of target ceramic materials



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Archaeological ceramics in situ



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Overview of the Talk

- Basics of X-ray Fluorescence (a review)
- Analysis of Ceramics
 - Complexity for analysis
 - Challenges for analysis complexity and variability
 - Approaches to achieving high quality data
 - Examples of the issues
- Quantification
 - Types of quantification
 - Basics on developing a calibration and choosing standards
 - Examples of some attempts
- Suggestions for obtaining quality data



D.C. Harris, Quantitative Chemical Analysis, 7th Ed., Freeman, NY, 2007.

The X ray Reactions in the Sample

- Compton: inelastic scattering
- Raleigh: elastic scattering
- Bragg: coherent scattering
- Electron ejection: X ray fluorescence
- Inter element effects:
 - Secondary fluorescence
 - Sample absorption
 - Density effects
- Reaction probability as a function of incoming x ray energy
- Attenuation as function of x ray energy and material type
 Since the energy



 Since the electronic energy levels for each element are different, the energy of X-ray fluorescence peak can be correlated to a specific element

Electrons, the Photoelectric Effect and Fluorescence



Electrons, the Photoelectric Effect and Characteristic Fluorescence





Excitation / Emission of Characteristic X-ray Radiation



The Photoelectric Effect and Absorption Edges



•Sudden jumps in absorption (edges) occur where the energy of the incident X-rays exceeds the binding energies of a particular electron state.

- •The energy of a particular transition is always less than the energy of edge corresponding edge.
- •Absorption is additive –photoelectric absorption from earlier edges is superimposed and hence the fluorescence spectrum will contain emission from all edges up to the energy of the incident radiation.

Electronic Transitions – Notation (Example: Barium)

Line	Siegbahn	Electron Binding Energies (keV)	Energy of emitted radiation (keV)	Wavelength (Å)
K-L ₂	Kα ₂	37.441, 5.634	31.807	0.390
K-L ₃	Κα ₁	37.441, 5.247	32.194	0.385
K-M ₂	Kβ ₃	37.441, 1.137	36.304	0.342
K-M ₃	$K\beta_1$	37.441, 1.062	36.379	0.341
K-N ₂	Kβ' ₅	37.441, 0.192	37.249	0.333
K-N ₃	Κ β" ₂	37.441, 0.180	37.261	0.333
L ₃ -M ₁	$L\alpha_1$	5.247, 1.293	3.954	3.136
L ₃ -M ₄	La2	5.247, 0.796	4.451	2.786
$=\frac{h\times c}{\lambda} = E_{keV} =$	$\frac{12.4}{\lambda(\hat{\alpha})} = \frac{1.24}{\lambda(nm)}$			
or		Energy (ke	$V) = 12.4 \div wavelengt$	h (Å)
$\Lambda(\text{\AA}) = \frac{12.4}{E_{keV}}$	$\lambda(nm) = \frac{1.24}{E_{keV}}$			

XRF Spectrum of Pure (98+%) Barium





http://xdb.lbl.gov/

X-RAY DATA BOOKLET

Center for X-ray Optics and Advanced Light Source

Lawrence Berkeley National Laboratory

- Introduction
- X-Ray Properties of Elements
- Electron Binding Energies
- X-Ray Energy Emission Energies
- Fluorescence Yields for K and L Shells
- Principal Auger Electron Energies
- Subshell Photoionization Cross-Sections
- Mass Absorption Coefficients
- Atomic Scattering Factors
- Energy Levels of Few Electron Ions
- Periodic Table of X-Ray Properties
- Synchrotron Radiation
- Characteristics of Synchrotron Radiation
- History of X-rays and Synchrotron Radiation
- Synchrotron Facilities

Scattering Processes

UPDATE October 2009

A new version of the booklet is now available! Please visit the <u>CXRO</u> web site to obtain your copy.

X-Ray Data Booklet (October 2009)[pdf]



Voltage setting and region of interest



Theory: X-ray identification (open architecture)

- "organic" elements are not identified in spectrum (absorbed by air)
- Low Z elements only show K lines (L lines two low to be detected)
- Medium Z elements show both K and L lines
- High Z elements show L and some M lines (K can't be excited)



Bruker Tracer 5g

58	59	60	61	62	63	64	65	66	67	68	69	70	71
Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu
90	91	92	93	94	95	96	97	98	99	100	101	102	103
Th	Pa	U	Np	Pu	Am	Cm	Bk	$\mathbf{C}\mathbf{f}$	Es	Fm	Md	No	Lr

Ceramics – complexity for analysis and approaches to achieving high quality data

Ceramic types

Ceramic Bodies	Porosity	Firing Temp	Comment
• Terra-cotta	High ~30%	well below 900	Unglazed, coarse fabric
• Earthenware	~10-25%	900-1200	Glazed or unglazed, non vitrified
 Stoneware 	~0.5-2%	1200-1350	Glazed or unglazed, vitrified body
• China	~<1%	1100-1200	White fabric, vitrified
 Porcelain 	Near 0%	1300-1450	Hard body, translucent,

• Ceramic composed mainly of aluminosilicates (kaolinites smectites, montmorillonite and vermiculites)







http://www.mfa.org/artemis/zoom.as p?oid=315436&pic=SC37245.fpx&t em=1

China



http://netra.glendale.cc.ca.us/cerami cs/bowkakiemonplate.html



Hunt and Speakman, Journal of Archaeological Science, Volume 53, 2015, Pg. 626-638

Heterogeneity of Ceramics

- Variable particle size based on
 - Fabric type
 - Temper
 - Mineral inclusion
 - Firing temperature vitrification
 - Porosity
 - Etc..
- Potential for variable surface chemistries
- Increased Matrix effect possible



Gašparič, Andreja Žibrat. "A new look at old material: ceramic petrography and Neo\Eneolithic pottery traditions in the eastern Ljubljansko barje, Slovenia." (2013).

Importance of homogenization



i.e.

i.e.

- Corroded Material
- Surface alterations
- Layered structures

Heterogeneous materials allow for additional attenuation altering resulting peak height – thus changing the reported chemistry

X-ray physics - Quantification

Physics calculations based on <u>homogenous</u> materials that are <u>infinitely</u> thick.

"In practice, however, there are two major constraints that may prevent this ideal circumstance (*quantification*) from being achieved, these being **sample size** and **samples heterogeneity**" (Jenikins 1999: 141).

Samples prepared from <u>heterogeneous</u> materials **require significant** pre-treatments prior to analysis



 ψ = Scattering Angle

- I = Mass Absorption Coefficient
- p = Density
- Transmitted Beam Intensity: $I(\lambda_0) = I_0(\lambda_0) \exp(-\mu\rho x)$
- Incoherent Scatter Wavelength: $\lambda_{o} \lambda_{o} = 0.0243 [1 \cos \psi]$
- Fluorescence Penetration Depth: d = x Sin ψ_2

Figure 1.3. Interaction of the primary X-ray beam with the sample. From Jenkins 1999

Change in surface chemistry due to deposition

- First pointed out by Sayre, Dodson and Burr Thompson in 1957.
- Alkali and alkali-earth metals are most reactive with water and typically the most mobile— Leaching of cesium and strontium (among others) noted in low-fired ceramic.
- Reduction of Ca, Cs, Rb, K, and Na at surface.
- Vitreous phases are prone to breakdown and leaching as glass would.
- Dependent on many factors pH, redox conditions, organic activity etc...
- Mobile soluble salts.

Schwedt, A., Mommsen, H. and Zacharias, N., 2004 *Archaeometry*, *46*(1), pp.85-101 Buxeda iGarrigóset al. 2001, 360–2. Golitko, M., Dudgeon, J.V., Neff, H. and Terrell, J.E., 2012 *Archaeometry*, *54*(1), pp.80-100.



DESALINATING ARCHAEOLOGICAL CERAMICS December 20, 2013 · by Sarah Klopf -<u>https://rammleventisproject.wordpress.com/2013/12/20/des</u> <u>alinating-ceramics/</u>



Effect of Moisture on calibration



• Dry = Green: Wet = Red normalized to Rh La

- Note the increased area under peak for all light elements with dry sample. Trace elements of Mg, Al and P highly attenuated by the addition of water.
- The resulting quantification would be a 52% decrease for AI and a 47% decrease for P.

Overcoming heterogeneity and surface alterations

- Create standards (reference materials) that
 - a) Match the composition (dynamic range and all elements present),
 - b) have similar matrix and particle size to the samples.
- Only when they are prepared in the same way can you hope to create a more linear relationship used for calibration.
- When standards (reference materials) and samples are prepared the same way, **ONLY then**, can you achieve accuracy and precision in calibration.
- There is **no substitute** for good sample preparation. If the sample is poorly prepared **NO AMOUNT OF CORRECTION** can improve the analytical results. Time and resources should be devoted to sample preparation to benefit fully from an XRF spectrometer.

Quantification – background, issues and application to ceramics

XRF quantification

Assumed factors (infinitely thick samples)

- Samples are homogenous
- Samples have similar small particle size
- Samples have flat smooth surfaces
- Samples have similar density to each other AND the reference materials used for calibration.
- Samples are 'infinitely thick' (for bulk samples)
- Samples are large enough to cover X-ray beam
- Samples are dry
- Samples are **not porous**

Dependent on several factors:

- Analyte concentration
- The matrix
- Accompanying elements (matrix effects)
- Sample type (solid, liquid, powder, fused etc...)
- Method of sample preparation
- Shape, thickness
- Instrumentation setup (geometry, spot size etc..)



Typical XRF metal reference standards: thick, flat, smooth and homogenous.

Typical analytical range

Measured readilyMeasured with difficulty



Quantification: Peak height directly related to concentration



Methods offered to achieve quantification



- Fundamental parameters
 - Assumes:
 - Homogenous matrix
 - Values total 100% (usually)

Empirical calibrations

• Assumes:

- Lighter element composition
- Values provided in ppm or volume/area (usually)

Theoretical calibrations

Newer approaches include: Machine learning and Artificial intelligence algorithms

Accuracy, Precision and Bias

- Accuracy : Accuracy is a measure of how close the measured value is to the true value.
- Precision: Precision is a measure of the agreement among a group of individual measurements. (How close repeat measurements are to one another.)
- Bias: Bias is due to systematic errors such as a change in voltage since calibration or wrong calibration constants would introduce a constant error into each measurement. Bias can be reduced by calibration and carefully following established measurement procedures.



Matrix Corrections – Compton Normalization

• Based on the Compton scattering where Compton scatter is used an internal standard and a matrix correction.

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I / I Compton ~ C (+ corrections)
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- Matrix related corrections are calculated based on known concentrations and are determined based on calibration using standards (i.e., Fe, As₂O₃).
- The intensity of the Compton scatter can be used to obtain an estimate of the absorption coefficient of the specimen.
- Best for Z >23 and for samples that are relatively low density and samples containing relatively low concentrations of elements (i.e., soil, **potentially ceramics**).



Matrix Corrections – Fundamental Parameters

- Fundamental parameters (FP) calibrations are typically very accurate if the intensities of all matrix elements can be determined, or if the matrix of a sample is known.
- Calibration is **KEY** to success either through pure-element standards, or through theoretical calculations (standardless analysis).
- Can greatly improve results by using (one or many) standard reference material(s) during calibration.

Matrix Corrections – Fundamental Parameters

Advantages

- Method can be applied to any sample type
- Do not need to use standards (expensive to purchase)

Disadvantages

- Do not consider all physical interactions (i.e. tertiary fluorescence, scatter of low Z elements etc.)
- Strongly dependent on consistent mass attenuation coefficients, fluorescence yield, instrument geometry tube energy etc...
- Can only be applied if ALL the elements in the sample are identified (low Z elements like C, O, N should not be present).
- Often assumes normalization to 100%
- Not reliably consistent between instrumentation (even with same calibration).



Egan Range Sr-Zr

FP misidentification/peak overlap



Ti

Matrix Corrections – Influence coefficient algorithms

 Uses the relationship between counts and concentration (simple linear calibration curve). Matrix effects can affect a good linear calibration curve but can be compensated for with 'correction' models called empirical or theoretical coefficients.

C ~ I (+ corrections)

- This method can be very accurate only limited by experimental design.
- Can provides **more accurate results** than FP and Compton Normalization.
- Preparation of reference materials manufactured of the element(s) of interest in a matrix that closely approximates that of the unknown samples is necessary.
- The matrix correction and calibration are clearly seen so interpretation of the method is easy.



(a) Lachance-Traill algorithm and (b) Claisse-Quintin algorithm - Sitko and Zawisza, (2012)

Spectral Interference Effects on calibration

Spectral interference – peak overlap – can lead to increased error in the calibration curve as well. Think of CuK β and ZnK α , or PbL α and AsK α .

This error is improved with increased resolution – SDD detector (~145eV) vs SiPin detector (~205eV).



Increased resolution in new detectors to help with peak overlap



Difference in resolution between a standard Pin diode detector (Green) and an SDD detector (Red). Typical pin diode resolution at 205 eV while SDD at 145 eV at MnKa

Issues related to achieving quality quantification

- Matrix Issues
- Surface Roughness
- Particle Size Effects
- Heterogeneity
- Mineralogical Effects
- Curvature
- etc...

Poor Analytical Accuracy



Section of a Chalcolithic crucible showing high porosity, organic and inorganic temper and potential alteration to surface chemistry

Matrix Effects on calibration

- Matrix effects are caused by the absorption and enhancement of characteristic x-ray photons within the sample.
- Can cause alteration to linear relationship established with pure element calibrations.



Fig. 2: Rafał Sitko and Beata Zawisza (2012). Quantification in X-Ray Fluorescence Spectrometry, X-Ray Spectroscopy, Dr. Shatendra K Sharma (Ed.),

Relationship between radiation intensity of Fe and weight fraction of Fe:

Curve A – negligible matrix effects Curve B – FeCr, Curve C – FeNi, Curve D – FeMn



From: V. Thomsen (2007) "Basic Fundamental Parameters in X-Ray Fluorescence" Spectroscopy Spectroscopy Magazine May 2007

Remember - XRF is a surface sensitive analytical technique



- No excitation within the upper part of the samples(white)
- Central portion of the sample will be excited by the emitted radiation will be absorbed within the sample (light yellow)
- The measured radiation comes from the surface layers (yellow)
- This 'escape potential' can be measured based on mass attenuation coefficient



Escape Potential in Different Matrices (dependent on density)

Line	Energy	Graphite	Glass	Iron	Lead
Cd KA1	23.17 keV	14.46 cm	8.20 mm	0.70 mm	77.30 μm
Mo KA1	17.48	6.06	3.60	0.31	36.70
Cu KA1	8.05	5.51 mm	0.38	36.40 µm	20.00
Ni KA1	7.48	4.39	0.31	29.80	16.60
Fe KA1	6.40	2.72	0.20	* 164.00	11.10
Cr KA1	5.41	1.62	0.12	104.00	7.23
S KA1	2.31	1160 μm	14.80 µm	10.10	4.83
Mg KA1	1.25	20.00	7.08	1.92	1.13
F KA1	0.68	3.70	1.71	0.36	0.26
N KA1	0.39	0.83	1.11	0.08	0.07
C KA1	0.28	* 13.60	0.42	0.03	0.03
B KA1	0.18	4.19	0.13	0.01	0.01

Approx. Density:

~2.09–2.23 g/cm³

~2.5 g/cm³

³~7.874 g/cm³

~11.34 g/cm³

Photon Escape Potential for Ceramics

ELEMENT	PHOTON ESCAPE DEPTH	UNIT
Si	24	μm
Ca	70	μm
Fe	271	μm
Rb	0.19	cm
Sr	0.27	cm
Y	0.3	cm
Zr	0.38	cm

Table 3: Approximate photon escape potential for several elements of interest (based on a density of 2.3 g/cm³). Note that the higher atomic number elements have the potential to escape from a much larger volume of ceramic.

Shugar, A.N. "X-Ray Fluorescence Analysis of HM 1953" Chapter 9 in Real Fake: The Story of a Zapotec Urn. Edited by Justin Jennings and Adam T. Sellen. ROM Publications, Toronto. Pg. 176-189

Attenuation of Mylar and Polyethylene based on polymer thickness for low Z elements



Density (g/cm^3) : Mylar = 1.4, Polyethylene = 0.93

Sample size and curvature



Effect on spectrum with change in sample size and curvature.

A. Bezur, F. Casadio. "The analysis of porcelain using handheld and portable x-ray fluorescence spectrometers". In Handheld XRF in the Art and Archaeology

Success in analysis – suggestions

Sample preparation Collecting data

Options for Ideal Sample and Reference Material Preparation

- Sample should be ground to uniform particle size
- Ideally the particle size should be much smaller than the analyzed layer depth



• Powdering, Pressing and Fusing are all options.

Anzelmo, Bouchard, and Provencher (2014) X-ray Fluorescence Spectroscopy, Part II: Sample Preparation Spectroscopy Volume 29, Issue 7



Sample preparation to improve homogeneity

- Each has benefits and disadvantages.
- Maintaining consistency in your methodology is key to success.

Powdered samples



Pressed pellets





Anzelmo, Seyfarth, and Arias JCPDS Vol. 44

Borax glass beads / Fusion

Comparison of WD-XRF, ED-XRF, pXRF-author built Pressed Pellet Cal, pXRF- Bruker mudrock general cal



Hunt and Speakman, Journal of Archaeological Science, Volume 53, 2015, Pg. 626-638

Suggestions for Success – Collecting Data

x 1E3 Pulses 14 -

12

- Take advantage of X-ray Physics Change your instrument setting (kV, current, filters, time, and atmosphere) to enhance your data collection.
- Low Z elements long count times, low kV, relatively high current, He flow, no filter.
- Mid high Z elements long count times, high kV, relatively high current, no He, optimal filter.

Table 4

Analytical protocols used to evaluated performance of clay/sediment and 'mudrock' PXRF calibrations.

	CAIS Clay/Sediment calibration	Bruker mudrock calibration
Low Z elements	200 s count time 15 kV/20 μA	180 s count time 15 kV/20 μA
	He flow No filter	Vacuum No filter
Mid Z elements	200 s count time 40 kV/30 μA	60 s count time 40 kV/30 μA
	ʻgreen' filter: 300 μm Al/20 μm Ti/150 μm Cu	ʻyellow' filter: 300 μm Al/20 μm Ti



- keV -

45 kV, 60 uA Cu/Ti/Al filter, 60 sec

Suggestion for success by Hunt and Speakman, Journal of Archaeological Science, Volume 53, 2015, Pg. 626-638

Creating a Calibration – Cross Analysis

- In addition to proper sample preparation obtain quality comparable data
 - NAA and/or ICP-MS can provide reliable compositional data to build a cross reference set.
- Retain some samples for a Validation Set to test your calibration
 - Comparing your cal to samples used to build your calibration is NOT recommended.
- Different XRF manufacturers have different options for calibration programs (i.e. Easycal – Bruker), or use a self standing software (i.e. Cloudcal - v3.0 Drake, B.L. 2018. CloudCal v3.0. GitHub. https://github.com/leedrake5/CloudCal. doi: 10.5281/zenodo.2596154)

New investigations in ceramics analysis

Scientific investigation of ceramics materials from Honduras

(Shugar, Schortman and Urban, NSF award # 1733890)

- Aim investigate creating two different calibration models
 - Sanded flat and cleaned fragments.
 - Thin films

Thin samples

- No matrix effects
- Intensity is linear function of mass per unit area of analyte



Rafał Sitko and Beata Zawisza Quantification in X-Ray Fluorescence Spectrometry

Scientific investigation of ceramics materials from Honduras

(Shugar, Schortman and Urban, NSF award # 1733890)

- 430 samples from archaeological context in Honduras
- Preparation
 - Sanded flat (both sides) and cleaned fragments.
 - Thin films of 0.02 grams from drilled cores.
- Analysis
 - Using empirical calibrations and new theoretical ones



Sanded and cleaned



Drilled and thin filmed



Preliminary Results

- Solid samples trace element calibration curves for Rb and Sr
 - Lucas-Tooth Calibration with total counts normalization



Preliminary Results

- Thin Film samples Major elements calibration curves for Si and Ca
 - Lucas-Tooth Calibration with time normalization



Ca PPM

Newer Machine learning algorithms

- Forest algorithm
- Neural Networks
- XGBoost

- Rahman, Ashfaqur, et al. "A machine learning approach to find association between imaging features and XRF signatures of rocks in underground mines." *2015 IEEE SENSORS*. IEEE, 2015.
- Radtke, Martin. "Machine learning for direct quantification of XRF measurements." (2019).
- Heginbotham, Arlen, Robert Erdmann, and Lee-Ann C. Hayek. "The dating of French gilt bronzes with ED-XRF analysis and machine learning." *Journal of the American Institute for Conservation* 57.4 (2018): 149-168.



Concentration (%)

Concentration (%)

XGBoost calibration curve for ceramics solid trace scan for Na and AI – courtesy of Lee Drake

Potentially accept qualitative data comparison

- Faster results reduced cost can always apply calibration in the future
- Look at the area under peak for elements of interest.
- In many cases, grouping can be extracted.



Area under peak elemental ratios to define ceramic grouping

Shugar, A.N. "X-Ray Fluorescence Analysis of HM 1953" Chapter 9 in Real Fake: The Story of a Zapotec Urn. Edited by Justin Jennings and Adam T. Sellen. ROM Publications, Toronto. Pg. 176-189

Summary

Come prepared –

- Know what your samples is composed of
- If you need quantification
 - you must create reference materials that fully resemble your unknowns
 - Cross analyze your unknowns using a different technique (i.e. ICP)
 - Be ready to properly prepare your samples
 - Reduce the variables
- Be prepared to accept qualitative data
 - Ideal for survey of large assemblages
- The Future is bright!
 - The development of XRF is continuing
 - Newer technologies are pushing the boundaries of what is possible
 - Development of new algorithms is making calibration of complex systems easier



New Tracer 5g – Graphene window on detector allows for the identification of F